Approval Authority Meeting
Thursday, January 14, 2021
10:00 A.M
Zoom Meeting
https://www.zoomgov.com/j/1614697726

Meeting ID: 161 469 7726
1(833) 568-8864 Toll Free Audio Only

Please Note: Public comment is also available via phone by calling 1 (833)568-8864
Access Code 161 469 7726 #. When connected, press # to continue and you will be added to
the queue to speak. You will be lined up in the system in the order you dialed. All callers
will remain on mute until your line is opened by the host.

Agenda

1. **CALL TO ORDER**
   ROLL CALL

UASI Chair	Mary Ellen Carroll, City and County of San Francisco
UASI Vice-Chair	Rich Lucia, County of Alameda
Member	Erica Arteseros, City and County of San Francisco
Member	Jessica Feil, City of Oakland
Member	Raymond Riordan, City of San Jose
Member	Dana Reed, County of Santa Clara
Member	Mike Casten, County of Contra Costa
Member	Bob Doyle, County of Marin
Member	Gerry Malais, County of Monterey
Member	Mark Robbins, County of San Mateo
Member	Christopher Godley, County of Sonoma
General Manager	Craig Dziedzic

2. **APPROVAL OF THE MINUTES** (Discussion, Possible Action)
   Discussion and possible action to approve the draft minutes from the November 12, 2020 regular
   meeting or take any other action related to the matter.
   (Document for this item includes draft minutes from November 12, 2020.) 5 mins

3. **GENERAL MANAGER'S REPORT** (Discussion, Possible Action)
   General Manager Craig Dziedzic will present his report:

   a. FY21 Consolidated Appropriation Act (Discussion Only)
   b. Management Team Update (Discussion Only)
   c. National Homeland Security Conference (Discussion Only)

    d.   Management Team Tracking Tool and Future Agenda Items (Discussion Only)

(Documents for this item are a report and the Tracking Tool from Craig Dziedzic.) 5 mins

4. **ELECTION OF UASI OFFICERS** (Discussion, Possible Action)
The Chair will receive nominations and conduct a vote on the positions of UASI Chair and Vice Chair for the 2021 term. Possible action to support any recommendation(s) or take any other action related to this matter.
(Document for this item is a report from Craig Dziedzic.) 5 mins

5. **UASI FY21 SUSTAINMENT AND REGIONAL PROJECTS** (Discussion, Possible Action)
Regional Program Manager Janell Myhre will present the proposed FY21 UASI sustainment and regional projects with funding recommendations. The following presentations will be made:

    a. Bay Area Training & Exercise Program Annual Report – Jodi Traversaro
    b. Northern California Regional Intelligence Center Annual Report – Mike Sena
    c. Regional Public Safety Information Sharing System Annual Report – Brian Rodrigues
    d. BayRICS Annual Report - Corey Reynolds

(Documents for this item are a report and 4 appendices.) 30 mins

6. **RISK MANAGEMENT KICK-OFF 2021** (Discussion, Possible Action)
Project Manager Amy Ramirez will present the 2021 Risk Management program.
(Documents for this item are a report and two appendices from Amy Ramirez.) 5 mins

7. **FY18 UASI SPENDING REPORT** (Discussion, Possible Action)
Chief Financial Officer Tristan Levardo will present the FY18 UASI Spending Report.
(Documents for this item are a report from Tristan Levardo.) 5 mins

8. **ANNOUNCEMENTS - GOOD OF THE ORDER**

9. **GENERAL PUBLIC COMMENT**
Members of the public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.

10. **ADJOURNMENT**

If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Bay Area UASI Management Office located at 711 Van Ness Avenue, Suite 420, San Francisco, CA, 94102 during normal office hours: 8:00 a.m. - 5:00 p.m.

Public Participation:

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows.

- *Public Comment on Agenda Items.* The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes any action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to that particular agenda item. For each agenda item, each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the number and anticipated duration of other agenda items.

- *General Public Comment.* The Approval Authority shall include general public comment as an agenda item at each meeting of the Approval Authority. During general public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during general public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during general public comment, for up to three minutes. The Chair may limit the total general public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during general public comment and the number and anticipated duration of agenda items.

- *Speaker Identification.* Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.

- *Designated Public Comment Area.* Members of the public wishing to address the Approval Authority must speak from the public comment area.

- *Comment, Not Debate.* During public comment, speakers shall address their remarks to the Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker. Approval Authority Representatives shall not enter into debate or discussion with speakers during public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.

- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals making public comment. Speakers who use profanity or engage in yelling, screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

Disability Access

The Bay Area UASI Approval Authority will hold its meeting at the James Burton Federal Building, Northern California Regional Intelligence Center, 2nd Floor, California Conference Room, located at 450 Golden Gate Avenue, San Francisco, CA 94102. In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify the UASI Administrative Assistant, at least 24 hours prior to the meeting, at (415) 353-5223.

BROWN ACT NOTICE

Due to the COVID-19 health emergency, and to protect Approval Authority Board Members, regional government employees and the public, the Alameda County Office of Emergency Services is closed. However, Approval Authority Members will be participating in the meeting remotely at the same extent as if they were physically present.

Public comment will be available for each item on this agenda. A "Public Comment" slide will appear on the screen after each agenda item. Members of the public wishing to address the item will raise their hand (found on speaker's screen). Host will unmute the speaker's mic and speaker will be allowed 3 minutes to address the Approval Authority.

Best practices are to call from a quiet location and when called upon, speak clearly and slowly.

Alternatively, you may submit public comment by email to: uasimt.dem@sfgov.org

Call to Order – Chair Carroll
Roll Call – GM Dziedzic

JURISDICTION	JURISDICTION
City/County San Francisco	County of Contra Costa
County of Alameda	County of Marin
City/County San Francisco	County of Monterey
City of Oakland	County of San Mateo
City of San Jose	County of Sonoma
County of Santa Clara	

Approval of the Minutes

Chair Carroll

Bay Area UASI Program
Approval Authority Meeting
Thursday, November 12, 2020
10:00 A.M.

Location
Zoom Meeting

REGULAR MEETING MINUTES
DRAFT

1. **ROLL CALL**

   Approval Authority Chair Mary Ellen Carroll called the meeting to order at 10:00 AM and General Manager Craig Dziedzic subsequently took the roll. Chair Mary Ellen Carroll and Vice-Chair Rich Lucia were present. Members Chris Godley, Jessica Feil, Dana Reed, Raymond Riordan, and Gerry Malais were present. Members Mike Casten, Jose Velo, Bob Doyle and Mark Robbins were absent, but their alternates, Thomas Chalk, Erica Arteseros, Rick Navarro and Scott Kirkpatrick were present.

2. **APPROVAL OF THE MINUTES**

Motion:	Approve the minutes from the September 10, 2020 Approval Authority meeting.

Moved:	Member Godley	**Seconded:** Alternate Navarro

Vote:	The motion passed unanimously.

   Chair Mary Ellen Carroll asked for any comments or questions concerning the minutes from the September 10, 2020 meeting.

   A member of the public made a comment regarding the minutes process.

3. **GENERAL MANAGER'S REPORT**

   General Manager Craig Dziedzic provided the Approval Authority an update on the Notification of FY 20 Sub-recipient Awards, and the UASI FY 2021 Project Proposal Process. He also presented the 2021 Meeting Calendar for approval.

   Approval of the 2021 Calendar for Approval Authority Meetings

Motion:	Approve the 2021 Calendar for Approval Authority Meetings.

Moved:	Member Malais	**Seconded:** Member Lucia

Vote:	The motion passed unanimously.

Management Team Tracking Tool and Future Agenda Items

There were no additions to the tracking tool.

A member of the public made a comment regarding the General Manager's report.

4. THIRA AND SPR APPROVAL

Regional Program Manager Janell Myhre presented the annual THIRA and SPR for review and approval.

Motion: Approve the THIRA/SPR.

Moved: Member Kirkpatrick **Seconded:** Member Malais

Vote: The motion passed unanimously.

A member of the public made a comment regarding the THIRA and SPR approval agenda item.

5. HUB FUNDING ALLOCATION AND RISK FORMULA UPDATE

Regional Program Manager Janell Myhre presented the hub funding and allocation and risk formula for review and approval.

Motion: Approve the Hub Funding Allocation and Risk Formula Update.

Moved: Member Mary Ellen Carroll **Seconded:** Alternate Navarro

Vote: The motion passed unanimously with the amendment described below.

Member Godley directed the management team to inquire what projects the State of California is using their retention funds for.

A member of the public had a comment regarding the Hub Funding Allocation and Risk Formula Update.

6. RCPGP PROGRAM UPDATE

Regional Project Manager Corinne Bartshire presented an update on the Bay Area RCPGP program. She stated in her report that The Bay Area has been awarded funding through the Department of Homeland Security Regional Catastrophic Preparedness Grant Program

(RCPGP) as follows: • FY 2019 - $1,406,550 for Life Sustaining Commodity Distribution Planning • FY 2020 - $929,414 for Regional Pandemic Preparedness.

7. DSW PROGRAM ANALYSIS PROJECT CLOSEOUT

Regional Project Manager Corinne Bartshire presented a closeout of the DSW Program Analysis Project. She stated that the Bay Area UASI completed a review and analysis of local DSW programs within the region. Based on the identified best practices, lessons learned, and experienced challenges, the UASI developed an accompanying DSW Program Toolkit.

Members Godley and Arteseros thanked Corinne Bartshire for her efforts in regional catastrophic planning.

A member of the public made a comment regarding UASI initiatives.

8. JIS ADMINISTRATION SUPPORT PROJECT UPDATE

Regional Project Manager Corinne Bartshire presented an update on the JIS Administration Support Project. She stated that the Bay Area UASI has recently funded several efforts to support the Bay Area Joint Information System. These include: JIS Administrative Services, Interim COVID-19 After Action Report, Update to Bay Area JIS Framework and Toolkit, Bay Area JIS Training, Annual exercise design, conduct, and evaluation, Annual license to Simulation Deck virtual exercise platform, and the Mass Notification Seminar 2021.

A member of the public made a comment regarding the JIS project.

9. MEDICAL AND PUBLIC HEALTH WORKGROUP UPDATE

Regional Program Manager Jodi Traversaro presented an update on the Medical and Public Health Workgroup. She stated that The Bay Area UASI Regional Emergency Medical and Public Health Workgroup in partnership with the Association of Bay Area Public Health Officers has completed the Med/Health Shelter Concept of Operations, Toolkit, and Training Project for the Bay Area region.

A member of the public made a comment regarding the Medical and Public Health Update.

10. BAY AREA TRAINING AND EXERCISE PROGRAM (BATEP) UPDATE

Regional Program Manager Jodi Traversaro presented an update on the Bay Area Training and Exercise Program (BATEP).

A member of the public made a comment regarding the BATEP program

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11. NCRIC BRIEFING

NCRIC Executive Director Mike Sena presented an Election Security threat briefing for the Bay Area UASI. He discussed recent trends in election security as well as services offered by the NCRIC to support local agencies and other partners.

Members Feil, Riordan, and Godley commended the NCRIC for their efforts during the current period of emergency.

12. REALLOCATION OF FY19 GRANT FUNDS REPORT

Chief Financial Officer Tristan Levardo presented the FY19 Reallocation of Grant Funds Report.

A member of the public made a comment regarding the Reallocation of Grant funds report.

13. ANNOUNCEMENTS- GOOD OF THE ORDER

Chair Carroll announced that Adrienne Bechelli has been appointed Deputy Director of the San Francisco Department of Emergency Management.

14. GENERAL PUBLIC COMMENT

A member of the public made a comment.

15. ADJOURNMENT

The meeting adjourned at 11:56 AM.

Approval of the Minutes

Board Comments

Approval of the Minutes

Public Comment

Approval of the Minutes

Motion and 2nd

Approval of the Minutes
Roll Call Vote- Ethan Baker

JURISDICTION	JURISDICTION
City/County San Francisco	County of Contra Costa
County of Alameda	County of Marin
City/County San Francisco	County of Monterey
City of Oakland	County of San Mateo
City of San Jose	County of Sonoma
County of Santa Clara	

General Manager's Report

To: **Bay Area UASI Approval Authority**

From: **Craig Dziedzic, General Manager**

Date: **January 14, 2021**

Re: **Item 03: General Manager's Report**

Recommendations:

No Recommendation.

Action or Discussion Items:

  (a) FY 2021 Consolidated Appropriation Act (Discussion Only)
  (b) Management Team Update (Discussion Only)
  (c) National Homeland Security Conference (Discussion Only)
  (d) Management Team Tracking Tool and Future Agenda Items (Discussion Only)

Discussion/Description:

(a) Notification of Subrecipient Award FY 21 (Discussion Only)

On December 27, 2020, the president signed the Consolidated Appropriations Act of 2021 to fund the Federal Government for the remainder of the current fiscal year. Highlights of the legislation are as follows:

- $705 million for the Urban Areas Security Initiative (UASI), including $90 million for the UASI Nonprofit Security Grant Program;

  Note: (FEMA has recently announced significant changes in both the Risk Profile Methodology as well as the FY 2021 NOFO)

- $20 million transferred from the Office of the Secretary for Targeted Violence and Terrorism Prevention grants;
- $402.3 million for the Countering Weapons of Mass Destruction Office, including funding the Securing the Cities (STC) program.

(b) Management Team Update (Discussion)

UASI Grant Program

Project Manager Position (Training and Exercise) - The position will work under the direction of the Training and Exercise Program Manager, facilitating and coordinating the quarterly work group meetings

and implementing regional training and exercises. The job announcement has been published. We are reviewing applications and scheduling interviews for the position.

Grants Specialist Position (Training and Exercise) – Karen Tsang has been hired to work with the training and exercise group to process grant expenditures and other financial related work. She has solid experience as an auditor, a tax accountant, and a government accounting finance professional. Karen has worked for the San Francisco Dept. Public Health, analyzing, and tracking expenditures for over 50+ capital projects. She earned a Bachelor of Science in Management Science at the University of California, San Diego, and is proficient with financial software and numerous software languages.

Emergency Services Coordinator I Position (Training and Exercise)- The position will work with the Training and Exercise Program Manager performing administrative functions, including assisting with the planning, coordination and development of regional initiatives, plans, and processes. A significant component of this position requires excellent written skills. The job announcement been published and we will be reviewing applications and scheduling interviews for the position.

Securing the Cities (STC) Program

Program Manager Position – SFPD Captain Dominic Yin has been hired to serve as the Program Manager and will work with the General Manager to oversee and implement the policies/requirements of the STC grant program, including chairing/facilitating the Principal Partners Committee, reporting/coordinating the region's strategies and capabilities with the Countering Weapons of Mass Destruction (CWMD) office and State/Local stakeholders. Captain Yin retired from the SFPD after working for more than twenty-five years on various assignments. He is familiar with the STC program and has been a member of the Principal Partners Committee since its establishment. Captain Yin is also an attorney and a member of the California State Bar.

Project Manager Position – Chief Dave Rocha who retired as Fire Chief for Alameda County has been hired to serve as the Project Manager for the region's Chemical, Biological, Radiological, Nuclear, Explosive (CBNRE) program. He will be working with the General Manager and Program Manager to facilitate the STC subcommittee meetings, developing/coordinating the training/exercise program and equipment procurement, and assisting with the National Priority Projects that involve Chem-Bio. Chief Rocha worked for the Alameda County Fire Department for more than twenty-five years in various roles. As Fire Chief, Dave was responsible for strategic leadership in all areas of emergency preparedness, including coordinating/strategizing with local, State and Federal stakeholders in all areas of CBRNE.

Grants Specialist Position – Ethan Baker has accepted a promotional opportunity to work as a grant specialist within the STC group to process grant expenditures and other financial related work. As an Emergency Services Coordinator, Ethan gained solid grant experience in the areas of grant compliance, procurement, project monitoring and website administration. He will be assisting the Program Manager with the necessary grant requirements such as: analyzing grant milestones, initiating/delivering reports, tracking expenditures, and performing procurement activities.

Emergency Services Coordinator I Position - The position will work with the STC Program Manager performing administrative functions, including assisting with the planning, coordination and development of regional initiatives, plans, and processes. A significant component of this position requires excellent written skills. The job announcement been published and we will be reviewing applications and scheduling interviews for the position.

(d) National Homeland Security Conference (Discussion Only)

The 2021 National Homeland Security Conference will be held from July 12-15, 2021 at the MGM Grand in Las Vegas NV. For more information about the conference, please visit the website at https://www.nationalhomelandsecurity.org/. The Management Team will not be attending this year.

(e) Management Team Tracking Tool and Future Agenda Items (Discussion Only)

Attached as Appendix B is the Management Team Tracking Tool. Approval Authority members may submit future agenda items at any time to the General Manager.

General Manager's Report

Management Team Tracking Tool
&
Future Agenda Items

UASI Approval Authority and Management Team Tracking Tool

January 14, 2021 Approval Authority Meeting

#	Agenda Item	Presenter	Date Assigned	Due Date	Status / Comments
1	BayRICS JPA Quarterly Report	Corey Reynolds	10/28/20	03/11/21	
2	Bay Area UASI Travel Expenditures	Tristan Levardo	10/28/20	03/11/21	
3	NCRIC Special Events/ SARS Briefing	Mike Sena	10/28/20	03/11/21	
4	RCPGP Status Update	Corinne Bartshire	10/28/20	03/11/21	
5	FY21 Funding Allocations & Hub Projects	Mary Landers	10/28/20	03/11/21	
6	BATEP Program Update	Jodi Traversaro	11/16/20	03/11/21	
7	TVTP Grant Update	Jodi Traversaro	11/16/20	03/11/21	
8	CalOES Presentation Retention Funded Projects	CalOES	11/16/20	03/11/21	
9	National Priority Project Update	Management Team	11/16/20	06/10/21	

				Recurring Agenda Items	
#	**Agenda Item**	**Deliverable**	**Presenter**	**Due Date**	**Status / Comments**
A	UASI Financial Reports	Report	Tristan Levardo	01/14/21 03/11/21 06/10/21 09/9/21	FY18 UASI Spending Report FY19 UASI Spending Report Reallocation of Grant Funds FY20 UASI Spending Report
B	BayRICS JPA Quarterly Report	Report	Corey Reynolds	03/11/21 09/9/21 11/18/21	BayRICS JPA Report
C	Election of UASI Officers	Discussion & Action Item	Chair	01/13/22	Annual Election
D	NCRIC Annual Report	Report	Mike Sena	01/13/22	Annual Report
E	NCRIC Threat Briefing (possible closed session)	Report	Mike Sena	03/11/21 06/10/21 09/9/21 11/18/21	Special Events/ SARS SEAR Event Briefing/Gun Related Intelligence Program Cyber Security/Threat Briefing Regional Info Sharing System
F	Training and Exercise Program Annual Report	Report	Jodi Traversaro	01/13/22	Annual Training and Exercise Program Report
G	Securing the Cities Program Update	Report	Dominic Yin	03/11/21 11/18/21	Biannual Update
H	Regional Catastrophic Preparedness Grant Program (RCPGP) Update	Report	Corinne Bartshire	03/11/21 11/18/21	Biannual Update
I	Targeted Violence and Terrorism Prevention (TVTP) Update	Report	Jodi Traversaro	03/11/21 09/09/21	Biannual Update
J	Training and Exercise Program Status Update	Report	Jodi Traversaro	03/11/21 09/9/21 11/18/21	Quarterly Update
K	Risk Management Program	Report	Amy Ramirez	01/13/22 06/10/21 11/18/21 11/18/21	Risk Management Kick Off Risk Management Program Update THIRA/SPR Approval Hub Funding Allocation/ Risk Formula Application

General Manager's Report

Board Comments

General Manager's Report

Public Comment

Election of UASI Officers

Chair Nomination

To: **Bay Area UASI Approval Authority**

From: **Craig Dziedzic, General Manager**

Date: **January 14, 2021**

Re: **Item 04: Election of UASI Officers**

Staff Recommendation:

No recommendation

Action or Discussion Items:

Action

Discussion:

Following the process outlined in the Bay Area UASI Approval Authority Bylaws, the Approval Authority shall elect a Chair and Vice-Chair from among the Member's primary representatives on an annual basis. The General Manager will initiate the nomination process and candidates may make a statement and then hear public comment. After public comment, an open vote will take place at the pleasure of the board. Roll call will take place by Members in the following order: City of San Francisco, County of Alameda, County of San Francisco, City of Oakland, City of San Jose, County of Santa Clara, County of Contra Costa, County of Marin, County of Monterey, County of San Mateo, and County of Sonoma.

After the vote for Chair has taken place, the General Manager will open nominations for Vice-Chair. The candidates may make a statement and then hear public comment. After public comment, an open vote will take place at the pleasure of the board. Roll call will take place by Members in the following order: City of San Francisco, County of Alameda, County of San Francisco, City of Oakland, City of San Jose, County of Santa Clara, County of Contra Costa, County of Marin, County of Monterey, County of San Mateo, and County of Sonoma.

Election of UASI Officers

Board Comments

Election of UASI Officers

Public Comment

Election of UASI Officers

Vice Chair Nomination

Election of UASI Officers

Board Comments

Election of UASI Officers

Public Comment

2021 Approval Authority Election Roll Call Vote- CHAIR

JURISDICTION	JURISDICTION
City/County San Francisco	County of Contra Costa
County of Alameda	County of Marin
City/County San Francisco	County of Monterey
City of Oakland	County of San Mateo
City of San Jose	County of Sonoma
County of Santa Clara	

2021 Approval Authority Election Roll Call Vote- Vice Chair

JURISDICTION	JURISDICTION
City/County San Francisco	County of Contra Costa
County of Alameda	County of Marin
City/County San Francisco	County of Monterey
City of Oakland	County of San Mateo
City of San Jose	County of Sonoma
County of Santa Clara	

UASI FY21 Proposed Sustainment and Regional Projects

To: Bay Area UASI Approval Authority

From: Janell Myhre, Regional Program Manager

Date: January 14, 2021

Re: Item 05: UASI FY21 Proposed Sustainment and Regional Projects

Recommendation:

Approve the recommended funding amounts.

Action or Discussion Items:

Discussion and/or Possible Action.

Background

The FY21 UASI Project Proposal Guidance states that the Bay Area UASI Management Team will report all regional proposal submissions to the Approval Authority, along with funding recommendations based on the region's risk and gaps as well as funding availability.

Listed in Table One below are regional sustainment projects and regional projects vetted at a UASI workgroup meeting prior to the October 9, 2020 proposal submission deadline. There are three (3) sustainment projects: training and exercise, fusion center, and public safety information sharing. These projects will be presented directly to the Approval Authority, along with an Annual Report, in today's meeting. Regional projects are described in Table One.

Discussion

Please note that the Management Team's current funding recommendations for the regional projects assumes that our FY21 grant will be the same as last year, with the State retaining the same percentage of the grant as last year (i.e. 17.5%).

The Management Team will provide final funding recommendations once DHS announces the FY21 grant award amount, likely at the June Approval Authority meeting. At that time, the Approval Authority will also review/approve all hub projects recommended by hub voting members.

In FY 20, the sustainment projects were funded in the amount of $8,812,873 and the other proposed regional projects, including four National Priority Projects, were funded in the amount of $6,537,800. The amount for all regional funding for FY 20 totaled $15,350,673.

The total regional funding amount requested in FY 21, including the sustainment projects is **$18,421,473**.

The Management Team recommends funding the FY 21 sustainment projects in the amount of $11,243,473, the four (4) National Priority Projects in the amount of $6,200,000, and the three (3) other regional projects in the amount of $199,000. The total amount of National Priority and regional projects is $$6,399,000 with the total amount recommended for all FY21 sustainment, national priority and regional project funding is $17,642,473.

Table 1: FY21 UASI Proposed Sustainment and Regional Projects

Sustainment Project	Proposer	FY20 Allocation	Requested FY21 Amount	Recommended FY21 Allocation
Bay Area Regional Training and Exercise Program	UASI Management Team	$ 4,212,399	$ 4,312,399 *(includes $50K JIS EX & 50K Comm. Prep.)*	$ 4,312,399
Information Analysis, Infrastructure Protection, and Cyber Security	Northern California Regional Intelligence Center (NCRIC)	$ 5,211,473	$ 6,431,074 *(includes +26% COLA)*	$ 6,431,074
Regional Law Enforcement Information Sharing Systems	NCRIC on behalf of the Public Safety Information Sharing Workgroup	$500,000	$500,000	$ 500,000
Subtotal Sustainment Projects		**$8,812,873**	**$11,243,473**	**$11,243,473**
National Priority & Regional Projects	**Proposer**	**FY20 Allocation**	**Requested FY21 Amount**	**Recommended FY21 Allocation**
(4) National Priority Projects @ $1,550,000 each: a) Info Sharing b) Soft Targets c) cybersecurity d) emergent threats	UASI Management Team	$6,200,000	$6,200,000	$ 6,200,000

Regional Voice and Broadband Data Communications, Planning, Governance	Bay Area Regional Interoperable Communications Systems Authority (BayRICS)	59,000	$59,000	$ 59,000
FY21 Bay Area Mass Notification Conference	UASI Management Team	$100,000	$100,000	$ 100,000
Regional WebEOC Fusion Project	Marin County Sheriff's Office of Emergency Services	$40,000	$60,000	$ 40,000 *(Reduced at request of proposer)*
Virtual Security Operations Center	County of San Mateo - Information Services Division	$138,800 *Pilot project*	$249,900	$0 *(Not funded, rolled into National Priority projects)*
Cyber Security Supply Chain	San Francisco Department of Technology	$0	$110,000	$0 *(Not funded, rolled into National Priority projects)*
Behavioral Health Resilience Training	Association of Bay Area Health Officers (ABAHO)	$0	$250,000	$0 *(Requested trainings moved to T&E program)*

Med/Public Health Training	Association of Bay Area Health Officers (ABAHO)	$0	$150,000	$0 *(Requested trainings moved to T&E program)*
Subtotal regional and National Priority Projects		**$ 6,537,800**	**$ 7,178,000**	**$ 6,399,000**
Total FY21 Sustainment, National Priority, & Regional Projects		**$15,350,673**	**$18,421,473**	**$17,642,473**

Bay Area Training & Exercise Program (BATEP)

To: **Bay Area UASI Approval Authority**

From: **Jodi Traversaro, Regional Program Manager**

Date: **January 14, 2021**

Re: **Item 05: UASI FY21 Proposed Sustainment and Regional Projects - BATEP**

<u>Staff Recommendation:</u>

Approve $4,312,399 from the FY21 UASI grant to fund the Bay Area Training and Exercise Program (BATEP).

<u>Action or Discussion Items:</u>

Action

<u>Background</u>

From July 2011 until 2019, the regional training and exercise program was funded by the Bay Area UASI and developed and managed by the Alameda County Sheriff's Office. In January 2020, the Approval Authority approved a blended management approach for a new Bay Area Training and Exercise Program (BATEP), with responsibilities shared between the Bay Area UASI Management Team and SenseMakers LLC.

<u>Discussion</u>

Today, the 2020 BATEP Annual Report is presented in a PowerPoint presentation. The BATEP Annual Report presentation includes information regarding program performance and substantiates the proposed FY21 funding allocation.

Bay Area Training and Exercise Program key achievements from July to November 2020 include:

- Conducted 53 in-person and virtual training courses representing 1,392 students trained across the Bay Area UASI region.
- Launched a user friendly BATEP website (www.batep.org) with over 52,000 visits which serves as the program's course calendar and registration platform.
- Developed BATEP doctrine, program manuals, a 500+ page course catalog, monthly reports for accountability, and secured 34 qualified training and exercise vendors.
- Adapted to the COVID-19 environment and provided continued services.

Bay Area UASI
Training & Exercise Program
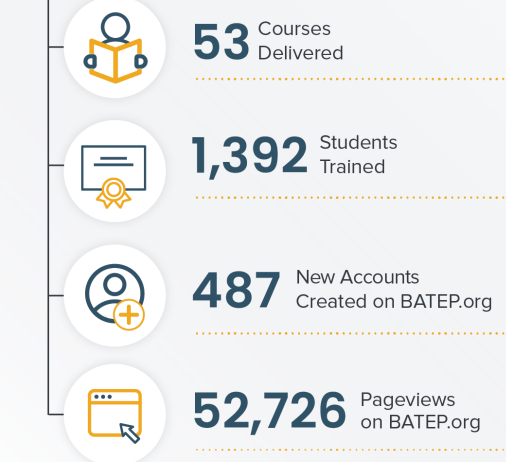## 2020 ANNUAL REPORT

January 14, 2021

Presented by Jodi Traversaro, Program Manager
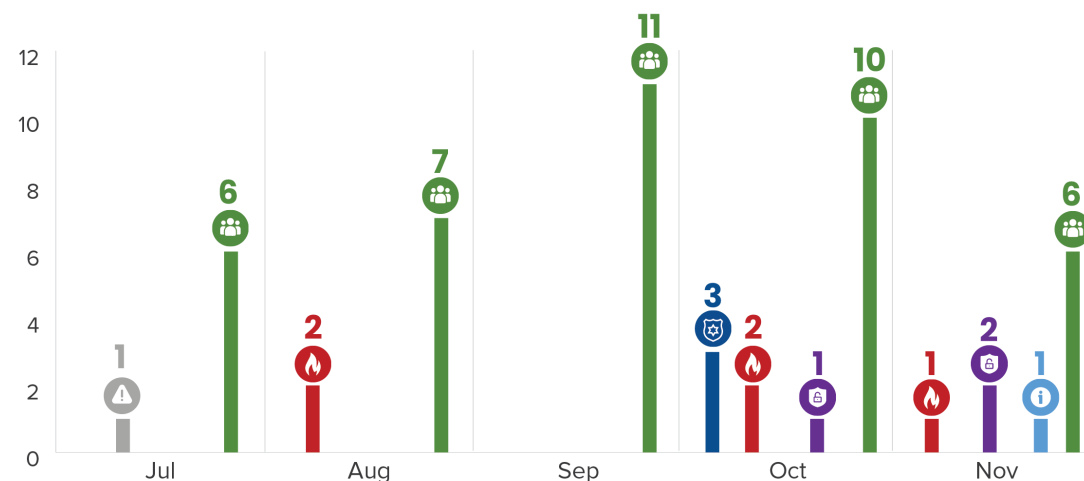
In conjunction with the SenseMakers and SF CARD teams

2020 Training Conduct

Map Annotations

1 Law Enforcement
City and County of San Francisco

4 Fire
San Mateo County

1 Emergency Management
Santa Cruz County

VIRTUAL
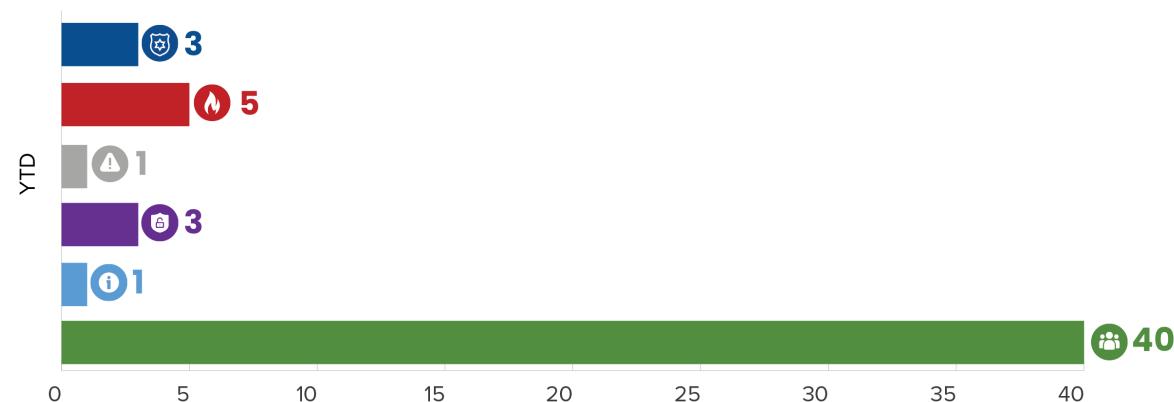
- 1 Fire
- 2 Law Enforcement
- 1 Public Information
- 3 Cyber Security
- 40 Community Preparedness
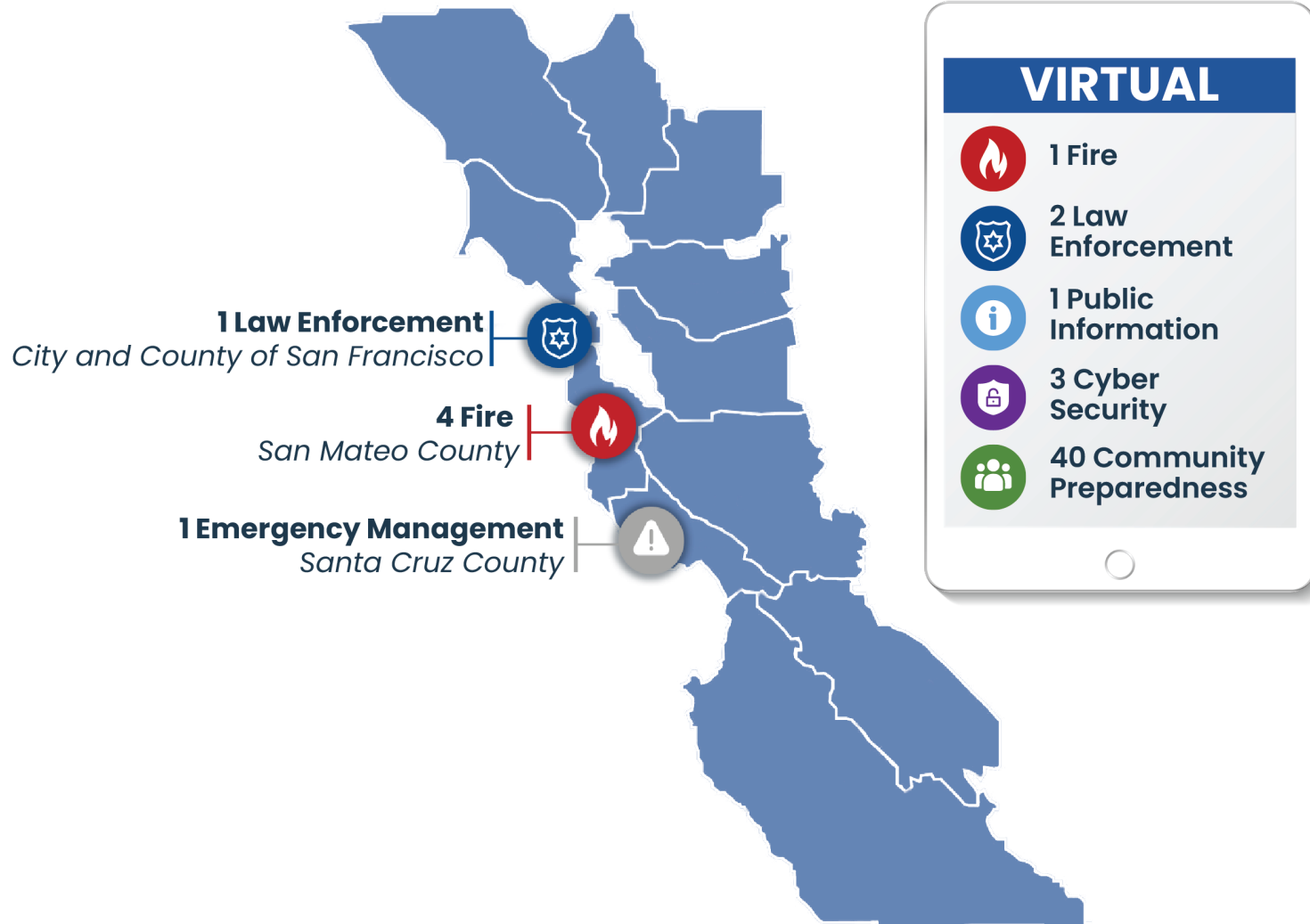
Students Trained by County

County	Students Trained
Alameda County	309
Contra Costa County	76
Marin County	86
Monterey County	72
Napa County	29
San Benito County	26
Santa Clara County	243
Santa Cruz County	70
Solano County	49
Sonoma County	76
San Mateo County	122
San Francisco City & County	235
Total	**1392**

Community Preparedness

COMMUNITY PREPAREDNESS COURSE DELIVERY

Community Preparedness Course Deliveries:
40

2020 Accomplishments

98%

of Community Preparedness students report an increase in their level of preparedness after attending the trainings

Course Deliveries		Students Trained
16	Personal and Organizational Preparedness	**420**
8	Community Organizations and Government Coordination	**259**
4	Continuity of Operations Planning for Non-Profits	**109**
4	Donations Management	**94**
4	Understanding the Incident Command System	**85**
2	Volunteer Management	**112**

Program Evaluation

2020 Public Safety Courses Evaluation Summary

13 Courses

Effectiveness of the instructor
4.8

Overall course rating
4.7 out of 5
★★★★⯪

Quality of course materials
4.6

2020 Community Preparedness Courses Evaluation Summary

40 Courses

Presenter's knowledge of the topic
4.7

Overall course rating
4.6 out of 5
★★★★⯪

Organization of the Presentation
4.5

2021 Planned Training & Golden Eagle Exercises

2021 TRAINING

Discipline	2021		
	Postponed (2020)	Selected (2021)	Total
Law Enforcement	31	28	59
Fire Service/EMS	17	15	32
Emergency Management	23	12	35
Cyber/PIO/BayRICS/NCRIC	10	9	19
Community Preparedness	TBD	TBD	TBD
Public Health	TBD	3	3
TOTAL	81	67	148

Exercise Name	Core Capabilities	Q1	Q2	Q3	Q4
Golden Eagle Functional Exercise w/ Full-Scale C-PODs	• Critical Transportation • Logistics and Supply Chain Management				X
Public Safety Drill	• Interdiction and Disruption • Intelligence and Information Sharing				X
Public Safety Tabletop Exercise Series	• Interdiction and Disruption • Intelligence and Information Sharing			X	
Community Preparedness Drill	• Community Resilience				X

Contact

BAUASI

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SenseMakers

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BATEP Administrator

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Community Preparedness Liaison

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Northern California Regional Intelligence Center (NCRIC)

To: **Bay Area UASI Approval Authority**

From: **Mike Sena, Director NCRIC/HIDTA**

Date: **January 14, 2021**

Re: **Item 05: UASI FY21 Sustainment and Regional Projects - NCRIC Annual Report and Proposed FY21 Allocation**

Recommendation:

Approve $6,597,740 from the FY21 UASI grant to fund the Fusion Center Project: Information Sharing and Analysis, Infrastructure Protection and Cyber Security.

Action or Discussion Items:

Action

Discussion/Description:

Background:

The Northern California Regional Intelligence Center is the State designated and federally recognized fusion center for the region. As a multi-jurisdictionally staffed center with many subunits and disciplines, the fusion center is dedicated to the mission of information sharing and providing analytical support to both public safety and private sector critical infrastructure partners within the UASI Area and the Northern Federal District of California. The following information is being provided to give an overview of the fusion center's activities from January 1 to December 31, 2020.

TERRORISM LIAISON OFFICER OUTREACH PROGRAM - (TLOOP)

Duties: Provide outreach and training to regional partners; review all submitted Suspicious Activities Reports (SAR); follow up on SARs and threats to public safety that require additional information collection and dissemination.

Personnel assigned: Ten (10)

Follow ups: 206 of 1301 SARs

Distribution Lists:
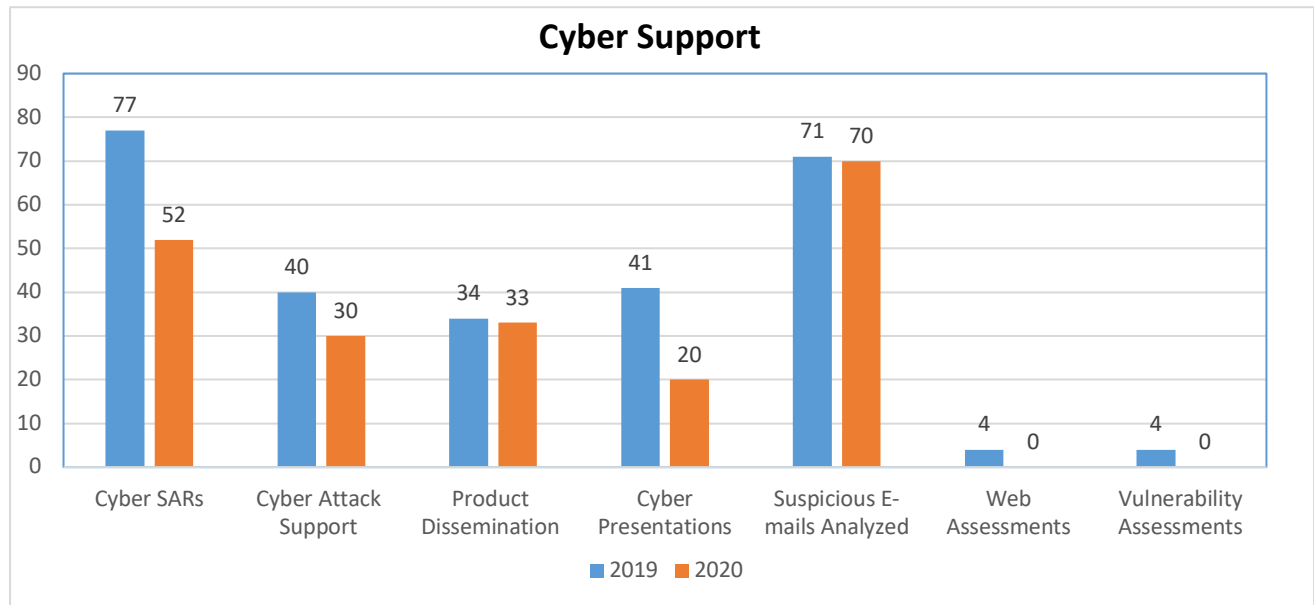17,101 NCRIC Members Approved for Law Enforcement Sensitive Information
2,875 NCRIC Members Approved for Official Use Only Information

INFORMATION TECHNOLOGY—CYBER

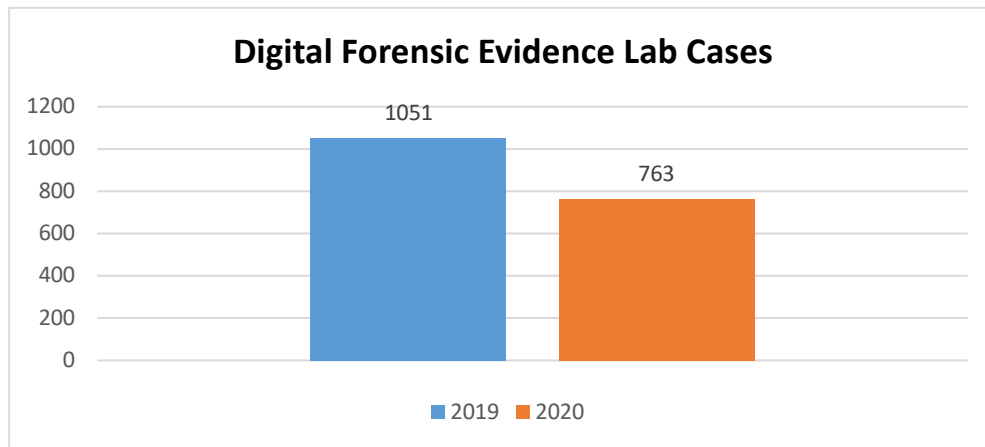**Personnel assigned**: Four (4)

Duties: Cyber team members improve regional resilience by sharing cyber threat intelligence and by providing incident support during cyber events. NCRIC Cyber Team members currently sit on six (6) Cyber-related Boards and Committees.

Activities: In 2020 the Cyber Team emerged as the key regional contact for agencies experiencing ransomware attacks. In addition to triaging cyber threat information, the Cyber Team delivered key cyber incident support during 15 active ransomware attacks in the region, building cases for federal partners and sharing that threat information with stakeholders so that other potential victims could protect themselves.

Cyber Support

	2019	2020
Cyber SARs	77	52
Cyber Attack Support	40	30
Product Dissemination	34	33
Cyber Presentations	41	20
Suspicious E-mails Analyzed	71	70
Web Assessments	4	0
Vulnerability Assessments	4	0

DIGITAL FORENSIC EVIDENCE LABORATORY

The NCRIC continues to support the Digital Forensic Evidence Lab (DFEL), which reviews data stored on computers and other portable electronic devices. This work assists in efforts to arrest and convict those engaging in criminal acts.

Digital Forensic Evidence Lab Cases

Year	Cases
2019	1051
2020	763

STRATEGY, INFRASTRUCTURE, AND ANALYSIS (SIA) UNIT

Personnel assigned: Four (4)

Duties: The SIA is the NCRIC lead for Critical Infrastructure and Key Resources (CIKR), vulnerability and threat assessments, national data calls for special events and CIKR, special/large-scale event support, and strategic intelligence products and research. The SIA provides support for events based on the potential risk of criminal activity, provides real-time information sharing, and in-depth strategic analysis of regional threats.

Activities: In 2020, in addition to coordinating Real-time Open-Source Analysis (ROSA) of threats to public safety, creating a COVID-19 dashboard for the NCRIC AOR, and assessing vulnerabilities of CIKR during pandemic conditions, SIA wrote intelligence products on caravan robberies, transnational crime organizations, elections concerns, threats from unmanned aerial systems, and a region-wide threat assessment in collaboration with the State Threat Assessment Center (STAC) and other California fusion centers.

Support Requests

Year	Value
2019	68
2020	265

Intelligence Products

| Year	Value
2019	11
2020	16
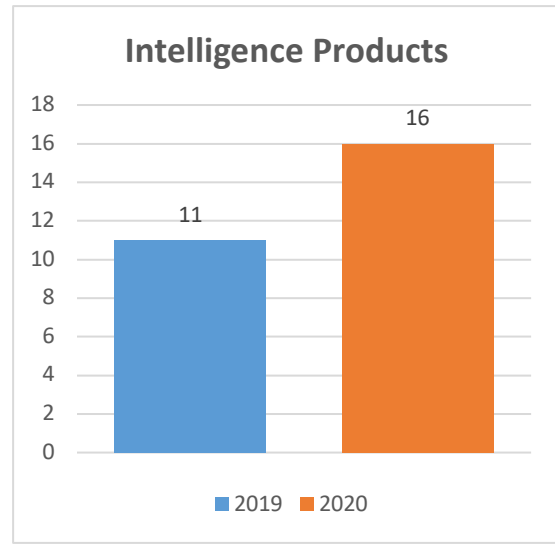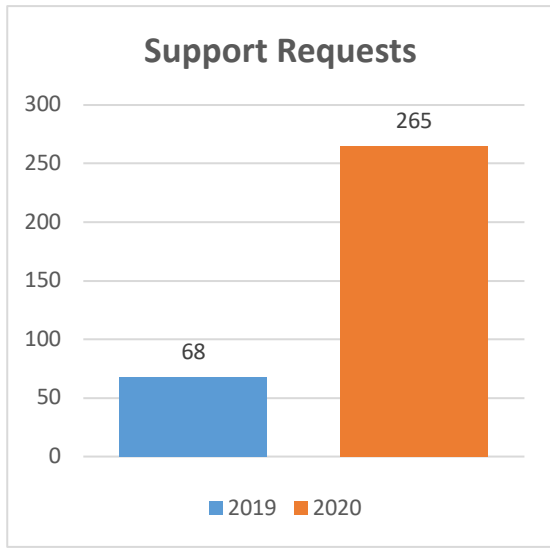
PRIVATE SECTOR OUTREACH

Personnel assigned: One (1)

Duties: Develop/facilitate participation by owners and operators to protect critical infrastructure; provide vetted members with highly valued, sensitive information and intelligence; enhance private sector engagement capabilities.

Activities: During 2020, the team temporarily adapted its private sector engagement efforts to the Covid-19 environment which included a modified outreach strategy, along with webinar-based training and information sharing opportunities for its members. The team partnered closely with the FBI InfraGard Program to increase participation by the private sector in this modified strategy. Despite these temporary program changes the team added its largest number of new members in a single year.

Private Sector Membership
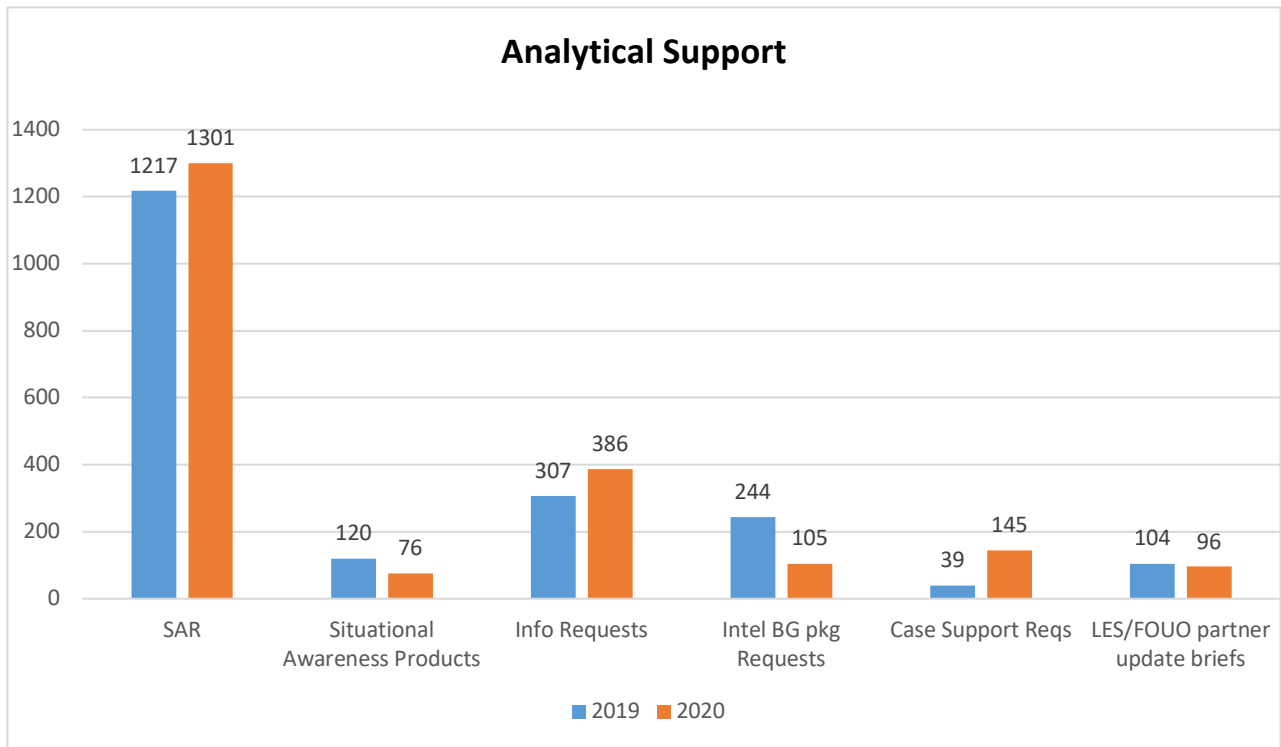
CY 2020- 1492 PS Members
(CY 2020- 231 New Members)

Private Sector Membership

2019	2020
1567	1492
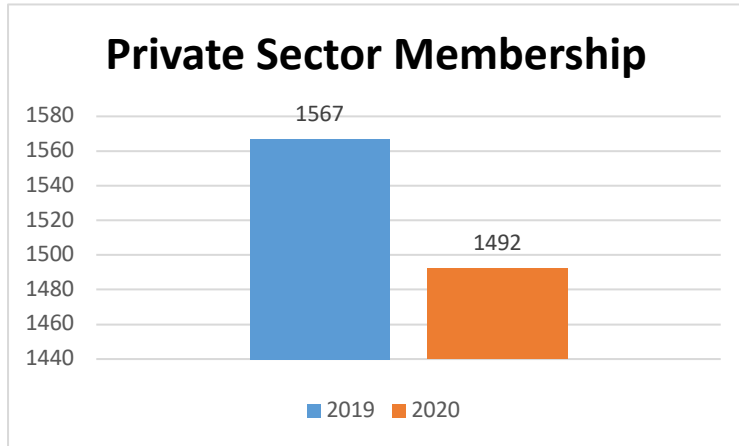
ANALYTICAL SUPPORT (NCRIC-TAG, Priority Crimes, CSU)

Personnel assigned: Nineteen (19)

Duties: Intake, vet, analyze, and share tips, leads, suspicious activity reports, information, and intelligence on foreign and domestic terrorism, criminal activity or threats to life; regularly collaborate and coordinate with federal, state, local, tribal, and territorial public safety partners, other fusion centers, private sector, faith-based community, and other security partners in our region and nationally to enhance threat information and mitigate risks; provide analytical support for requests for information; develop intelligence products, briefings, threat assessments, or strategic communications regarding regional criminal and terrorism activity trends and patterns; provide investigative and real-time event support; and the Gun-Related Intelligence Program in Santa Clara County.

Activities: In 2020 there was over a 32% increase Suspicious Activity Reports (SARs), which were de-conflicted and vetted to determine a criminal and/or a terrorism nexus, and nearly a 300% increase in case support requests, in which analysts often provide in-depth data-driven analytical products and/or courtroom testimony. Intelligence background package requests decreased during 2020.

Analytical Support

Category	2019	2020
SAR	1217	1301
Situational Awareness Products	120	76
Info Requests	307	386
Intel BG pkg Requests	244	105
Case Support Reqs	39	145
LES/FOUO partner update briefs	104	96

■ 2019 ■ 2020

TRAINING FOR CALENDAR YEAR 2020

In 2020, due to the Covid-19 pandemic, the fusion center adapted to the public health threat environment by using a virtual technological platform to conduct online training classes. These classes related to homeland security, narcotics enforcement, law enforcement officer safety and investigative techniques. Approximately 62 classes were put on and 4,992 students from allied agencies and organizations attended.
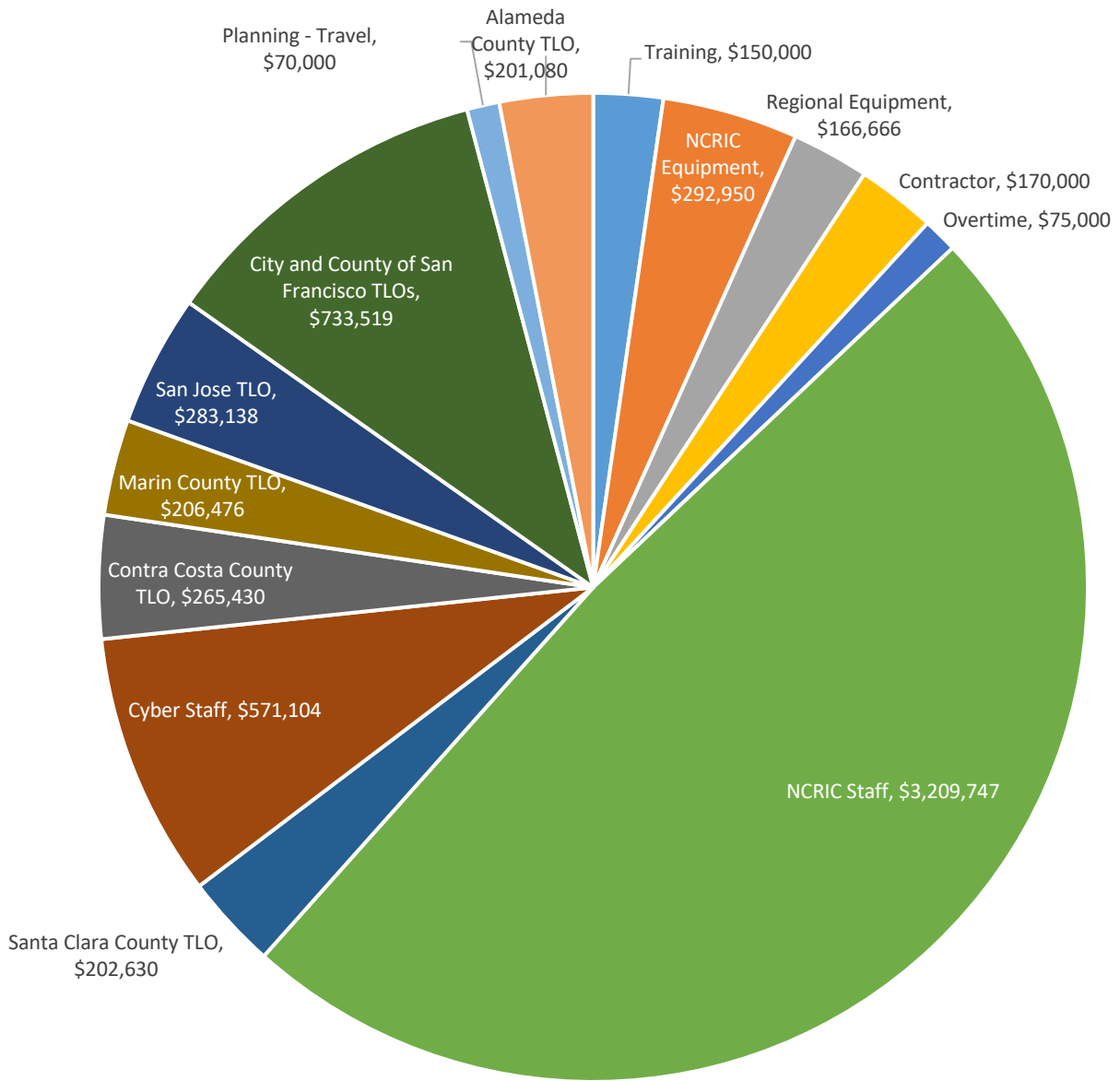
PILOT PROJECTS

The NCRIC/NCHIDTA participated in and/or chaired several national and local pilot projects in 2020, including:

Threat to Life Projects

- This year the NCRIC continued two pilot projects that focused on immediate and implied threat to life reporting. The first project was initiated at the request of the FBI's San Francisco Field Division to develop an immediate threat to life mitigation process with some of the world's largest social media companies. The project was developed in partnership with several social media companies and the Western States Information Network (WSIN) to identify and triage on-line threats involving the potential imminent danger of death or serious physical injury. The NCRIC process has saved the lives of potential victims across the United States and the world. The pilot project helped initiate a national task team formed that is developing recommendations for tip, lead, and threat to life management processes. The team was formed by the US DOJ - Bureau of Justice Assistance's Global Advisory Committee and Criminal Intelligence Coordinating Council. The task team is co-chaired by a NCRIC member.

- The second project was initiated in partnership with the FBI's Office of Partner Engagement and Criminal Justice Information System's National Threat Operations Center (NTOC) to develop an immediate threat to life mitigation process to triage threats received by the FBI. The NTOC process has saved the lives of potential victims across the United States.

FY 2021 NCRIC Budget Request

- Planning - Travel, $70,000
- Alameda County TLO, $201,080
- Training, $150,000
- Regional Equipment, $166,666
- NCRIC Equipment, $292,950
- Contractor, $170,000
- Overtime, $75,000
- City and County of San Francisco TLOs, $733,519
- San Jose TLO, $283,138
- Marin County TLO, $206,476
- Contra Costa County TLO, $265,430
- Cyber Staff, $571,104
- NCRIC Staff, $3,209,747
- Santa Clara County TLO, $202,630

Legend:
- Training
- NCRIC Equipment
- Regional Equipment
- Contractor
- Overtime
- NCRIC Staff
- Santa Clara County TLO
- Cyber Staff
- Contra Costa County TLO
- Marin County TLO
- San Jose TLO
- City and County of San Francisco TLOs
- Planning - Travel
- Alameda County TLO

Regional Public Safety Information Sharing

To: **Bay Area UASI Approval Authority**

From: **Brian Rodrigues, NCRIC Assistant Deputy Director**

Date: **January 14, 2021**

Re: **Item 05: UASI FY21 Proposed Sustainment and Regional Projects - Regional Public Safety Information Sharing System Annual Report and FY21 Proposed Allocation**

Recommendation:

Approve $500,000 from the FY21 UASI grant to fund the Regional Public Safety Information Sharing System.

Action or Discussion Items:

Action.

Discussion:

The 2020 Regional Public Safety Information Sharing System Annual Report is presented today in a PowerPoint presentation. This presentation will include recent success stories from the use of public safety information sharing systems, an overview of 2020 updates and enhancements, and plans for further progress in 2021. A three-year plan to transition away from grant funding to reduce future UASI grant funding reliance and achieve self-sustainment will also be discussed.

PUBLIC SAFETY INFORMATION SHARING PROGRAM

More than Maintenance
- New platforms deployed
- Partnerships with other regions and federal systems

Operational and Active
- 100+ Agencies
- 1000+ Active Users
- 100,000,000+ records
- Used *Every Day* by officers and analysts throughout the region

Planned Self-Sustainment
- Operating cost $1.5M-$2M / yr
- 1/3 UASI, 2/3 agency contribution
- 3 Year Plan to reduce UASI ask
  - 50% in FY22
  - Another 50% in FY23
  - Then $0

BayRICS

To: Bay Area UASI Approval Authority

From: Corey Reynolds, BayRICS General Manager

Date: January 14, 2021

Re: Item 05: UASI FY21 Proposed Sustainment and Regional Projects - BayRICS Annual Report and FY21 Proposed Funding

Staff Recommendation:

Approve $59,000 from the FY21 UASI grant to fund the BayRICS Regional Voice and Broadband Data Communications Planning and Governance project.

Action or Discussion Items:

Action

Background:

Regional interoperability is a multi-dimensional challenge and requires coordination across five interdependent elements, which must be considered together in order to foster true regional interoperable communications: Governance, SOPs, Technology, Usage, and Training & Exercise. BayRICS serves as the coordination body to ensure progress across each of these elements, and does so through the development of regional governance documents (e.g. MOUs), plans (e.g. TICP), guidance (e.g. radio programming guides and SOPs), technology support and troubleshooting (through the Radio Operators Advisory Group), and ensuring regional participation in trainings and exercises.

Discussion:

BayRICS General Manager Corey Reynolds will provide an annual report on the BayRICS 2020 achievements in voice and data interoperability in a PowerPoint presentation. This Annual Report substantiates the request for FY21 funding in the amount of $59,000 for BayRICS to continue supporting terrorism preparedness through regional public safety voice and broadband communications planning and governance. A planned transition away from UASI grant funds over the next six years for the BayRICS JPA to become self-sustaining will also be reviewed.

•BayRICS Annual Report and FY21 Proposed Funding

• **Bay Area UASI Approval Authority**

January 14, 2021

BayRICS JPA

1

2020
Achievements

• Public Safety Voice Communications Interoperability

- Planning and Governance

- Tactical Interoperabable Communications Plan (TICP) Update and Mobile App

- Annual System Key Exchange
  - 97 keys exchanged among 6 counties + 3 federal partners

- Update to Regional Fleet Map

- Development of Standardized Regional Alias Scheme

• Public Safety Voice Communications Interoperability

1

2020
Achievements

- Radio Operators Advisory Group

- Membership Expansion and Coordination with State and Federal Partners:
  - 74 participants representing 30+ agencies
- Coordination with UASI Programs:
  - THIRA/SPR Input
  - Integrated Preparedness Plan (IPP)
  - Regional Exercises
- Ad-Hoc Problem Solving Working Groups :
  - LOA/MOU Development
  - Equitable ID Distribution

• **Public Safety Broadband**

2

2020
Achievements

- Planning, Governance, and Information Sharing

- Hosted regional presentations on public safety broadband from:
  - FirstNet Authority
  - AT&T
  - Verizon
  - CalOES

- Held seats on the national FirstNet Public Safety Advisory Committee (PSAC) and the California First Responders Network Board (CalFRN) to represent Bay Area needs in network development

- Provided support to Bay Area jurisdictions considering adoption of FirstNet services

- Supported FirstNet testing and evaluation across the region

- Documented and shared lessons learned through the Radio Operators Advisory Committee

BayRICS JPA

3

BayRICS Funding Streams

- **BayRICS relies upon two sources of funding**
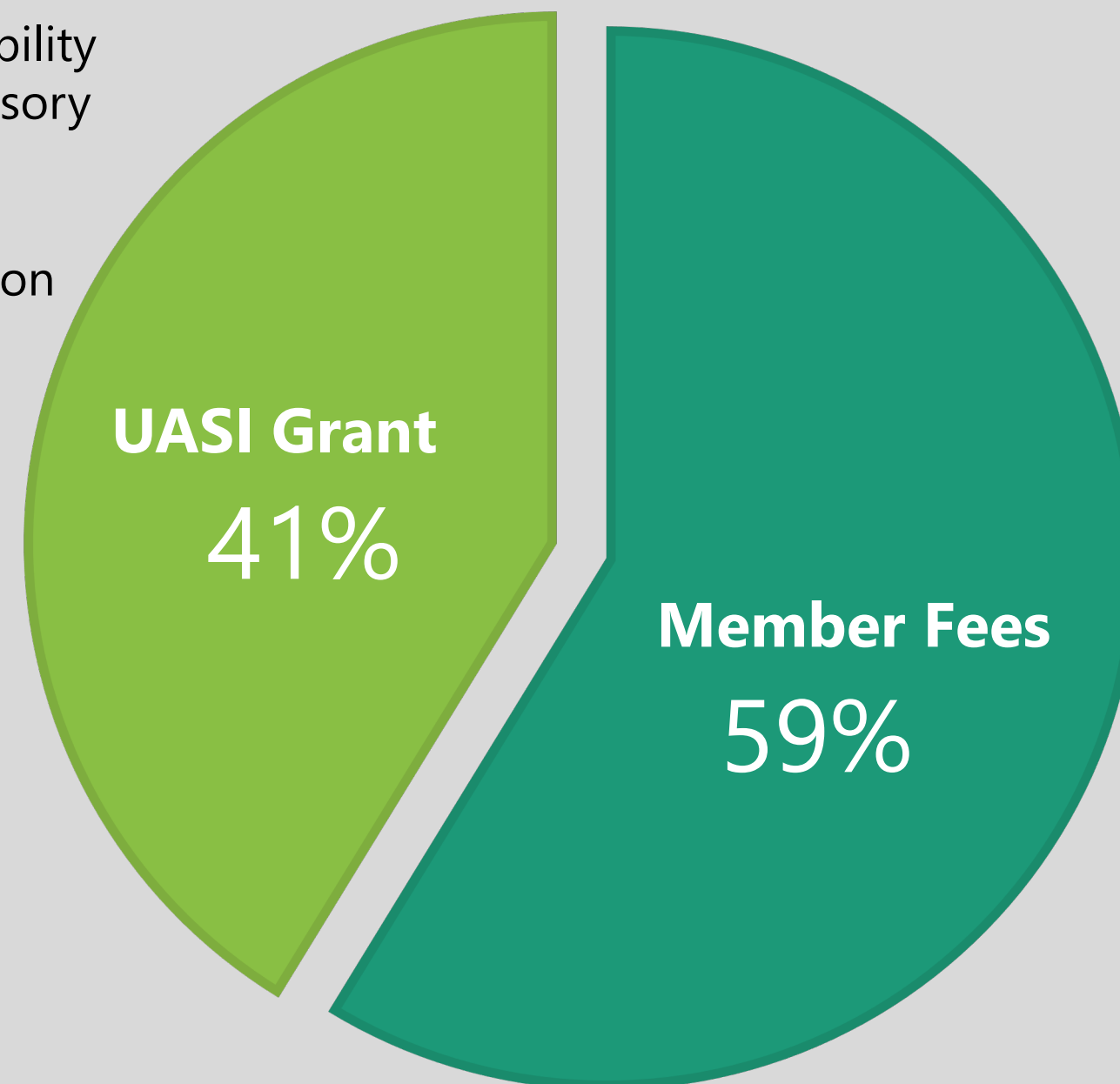
  - UASI Grants and Member Fees

UASI Grant
- P25 Radio Interoperability
- Radio Operators Advisory Group
- Training & Exercise
- Outreach & Information Sharing
- Regional Projects

Annual Budget:
$143,000

Member Fees
- Management of the JPA
- Staffing
- FirstNet PSAC
- Regional Broadband Planning
- Member Communications & Outreach

UASI Grant
41%

Member Fees
59%

5

3

BayRICS Sustainment Strategy

- Since FY14, BayRICS' mission has changed in response to the evolving public safety communications landscape. As such, BayRICS' funding requests have decreased.

- BayRICS continues the process to revise its Joint Powers Agreement in order to bring more fee-paying members into the Authority and reduce its reliance on UASI funding for operational expenses.

- In FY21, BayRICS will oversee the development of a "*UASI-Funded Technology Projects Sustainment Strategy*" to explore options for weaning off UASI funds for continued operational expenses.

- Using the results of the *Technology Projects Sustainment Strategy*, BayRICS anticipates steadily **reducing its annual funding requests over the next six years** as additional fee-paying members join the authority (e.g., a $10,000 per year reduction through FY26).

UASI FUNDING SINCE FY14

$400,000	
$300,000	
$200,000	
$100,000	
$-	

FY14 FY15 FY16 FY17 FY18 FY19 FY20 FY21...

BayRICS JPA

4

FY21 Funding Proposal

- **Regional Public Safety Voice and Broadband Interoperable Communications Planning and Governance**

- Proposed Budget: $59,000

1 Improve regional public safety voice communications interoperability

- **Lead** Radio Operators Advisory Group
- **Host** System Key Exchange
- **Maintain** and Regularly Update Tactical Interoperable Communications Plan (TICP) and Regional Fleet Map
- **Facilitate** Training and Exercise Opportunities

2 Lead regional public safety broadband planning and governance

- **Stand-up** Regional Public Safety Broadband Work Group
- **Develop** Guidance on Regional Broadband Interoperability Considerations
- **Monitor** FirstNet Developments
- **Share** Public Safety Broadband Information Regionwide

UASI FY21 Sustainment and Regional Projects

Board Comments

UASI FY21 Proposed Sustainment and Regional Projects

Public Comment

UASI FY21 Proposed Sustainment and Regional Projects

Motion and 2nd

Agenda Item 05

UASI FY21 Proposed Sustainment and
Regional Projects
Roll Call Vote

JURISDICTION	JURISDICTION
City/County San Francisco	County of Contra Costa
County of Alameda	County of Marin
City/County San Francisco	County of Monterey
City of Oakland	County of San Mateo
City of San Jose	County of Sonoma
County of Santa Clara	

Risk Management Kickoff 2021

To: **Bay Area UASI Approval Authority**

From: **Amy Ramirez, Regional Project Manager – Risk Management**

Date: **January 14, 2021**

Re: **Item 06: Risk Management Kick-Off 2021**

<u>**Staff Recommendation:**</u>

No recommendation

<u>**Action or Discussion Items:**</u>

Discussion

<u>**Discussion:**</u>

The Management Team has completed its planning for the 2021 risk management cycle in partnership with jurisdictions, the NCRIC, and Haystax. Please see Appendix A for the outline of the Risk Management Program schedule for calendar year 2021. An overview of program highlights are recapped below and provided in a PowerPoint presentation (Appendix B).

Risk Management 2021 Program Highlights

- The UASI Management Team will hold a Risk Management kick-off webinar for stakeholders.
- Jurisdictions will conduct their annual asset review to fill information gaps and add Special Events, COVID-19 public testing sites, and identified government-operated vaccine distribution sites.
- The NCRIC will update pharmaceutical distribution centers, hubs, and end-points in Cal COP.
- Haystax will refresh population and economic data inputs for the Hub Funding Formula.

THIRA / SPR 2021 Highlights

All Homeland Security fund grantees are required by DHS to produce a Threat Hazard Identifications and Risk Assessment (THIRA) and a Stakeholder Preparedness Review (SPR). FEMA does not require a full update of the THIRA until 2022, but three scenarios will be addressed, in addition to completion of the SPR.

The UASI Management Team will work with subject matter experts to:

- Add two new scenarios: Pandemic Influenza and Improvised Nuclear Device.
- Revise impact data for the Radiological Dispersal Device scenario.
- Complete the THIRA and SPR for Community Mitigation #2 and Threat & Hazard Identification.
- Update the SPR for capability targets in all Mission Area.
- Work with FEMA to improve SPR data visualization and analysis.
- Improve data gathering for the SPR through sub-grantee project reporting and Bay Area Training & Exercise course evaluations.
- Continue efforts with Cal OES to improve statewide coordination of the THIRA and SPR.
- Present the completed THIRA and SPR at the November Approval Authority in preparation for submission to DHS/FEMA by December 31st.

Bay Area UASI Risk Management Program 2021 Schedule
January 2021

Each year the Bay Area UASI Risk Management Program includes data input, validation, assessments, and reporting to support decision-making and guide grant spending. A risk and capability-based methodology to allocate UASI funds is required by the Department of Homeland Security and is mandated in the Bay Area UASI Approval Authority Bylaws.

This year, there are four main steps to our risk management process:

1. Risk Management Kick-Off Meeting

WHO: Bay Area UASI subject matter experts

WHAT: The Bay Area UASI Management Team provides an overview of the risk management process for the grant year, the annual approach to the Threat and Hazard Identification and Risk Assessment (THIRA) and Stakeholder Preparedness Review (SPR); the California Common Operating Picture (Cal COP) for Threat Awareness; as well as Protected Critical Infrastructure Information (PCII) certification requirements.

WHEN: January 28, 2021, 10:00 am – 11:00 am

WHERE: Virtual

2. Asset Updates and Special Event Data Collection

WHO: Op. Area and NCIRC subject matter experts who are Cal COP trained and PCII certified.

WHAT: Subject matter experts enter data on special events and review and update asset data based, as needed, in Cal COP. The NCRIC reviews and validates the information.

WHEN: January 29, 2020 – April 10, 2021

WHERE: Virtual

Haystax will offer a virtual training for Bay Area Cal COP uses on February 11th.

3. THIRA Scenario Review

WHO: Emergency Management and Homeland Security subject matter experts (SMEs)

WHAT: SMEs will review and provide input electronically on impact revisions for the Radiological Dispersal Device (RND) scenario and two proposed THIRA scenarios (Pandemic Influenza and Improvised Nuclear Device).

WHEN: May 21, 2020, 9:00 am - Noon

WHERE: Input gathered via email

4. SPR Update

WHO: Emergency Management and Homeland Security subject matter experts

WHAT: Complete the SPR for all Core Capabilities (i.e. change in capacity from last year, reasons for gaps, and gap-closing strategies). This process will be completed via worksheets and through input gathered via UASI Regional Work Groups and focused meetings with SMEs.

WHEN: Meetings scheduled between April - June

WHERE: Virtual

For more information, please contact Amy Ramirez Regional Project Manager, Amy.Ramirez@sfgov.org, 415-412-8313

Bay Area UASI

Risk Management Kick-Off 2021

Amy Ramirez, Project Manager

Approval Authority Meeting
Agenda Item 6
January 14, 2021

Appendix B

Program Highlights

- Risk Management Program Kick-off: January 28

- Cal COP* User Training: February 11

- Asset Update Deadline: April 10

- Refresh Population & Economic data

- Accelerated THIRA/SPR timeline

* Cal COP: California Common Operating Picture for threat awareness
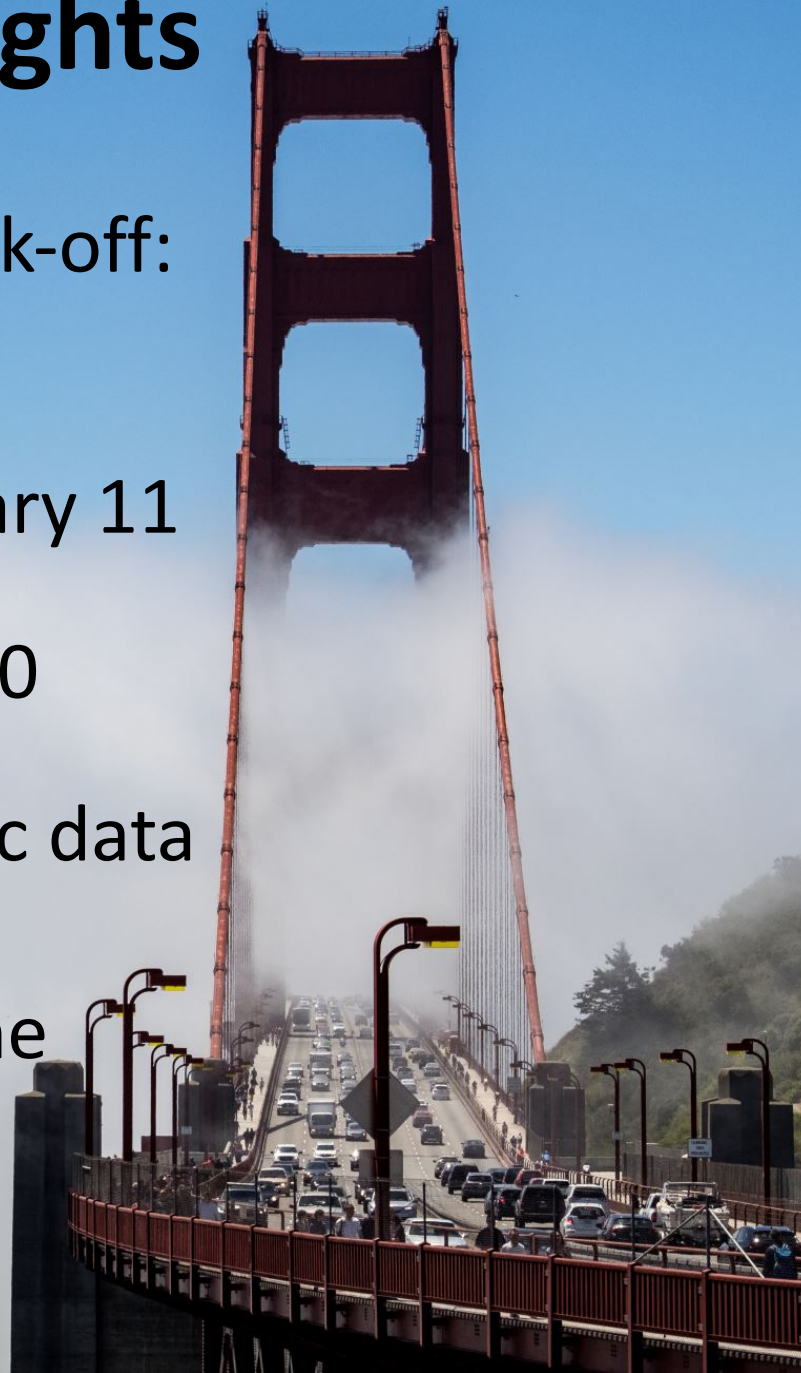
Threat & Hazard Identification and Risk Assessment (THIRA)

- Add Pandemic Influenza scenario

- Add Improvised Nuclear Device (IND) scenario

- Update Radiological Dispersal Device (RDD) scenario

- Set Capability Targets for Mitigation Mission Area

Stakeholder Preparedness Review (SPR)

- Update all Mission Areas

- Complete remaining Mitigation Mission Areas

- Input gathered via:
  – Worksheets completed by jurisdictions
  – UASI Regional Work Groups
  – Focused SME Webinars

Data Integration

- FEMA Dashboard

- Sub-grantee project assessments

- BATEP* course evaluations

- Coordination with Cal OES THIRA/SPR

* BATEP: Bay Area Training & Exercise Program

Timeline

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec
RM Kickoff	◆											
Cal COP Training		◆										
THIRA			▮									
Asset Data Validation			▬									
SPR				▬▬▬								
Asset, Risk, THIRA Update to AA						◆						
Project Proposal Submission										◆		
THIRA Approval & Hub Funding Formula to AA											◆	
THIRA Submitted to FEMA												◆

Amy Ramirez
amy.ramirez@sfgov.org
415-412-8313

Thank you.
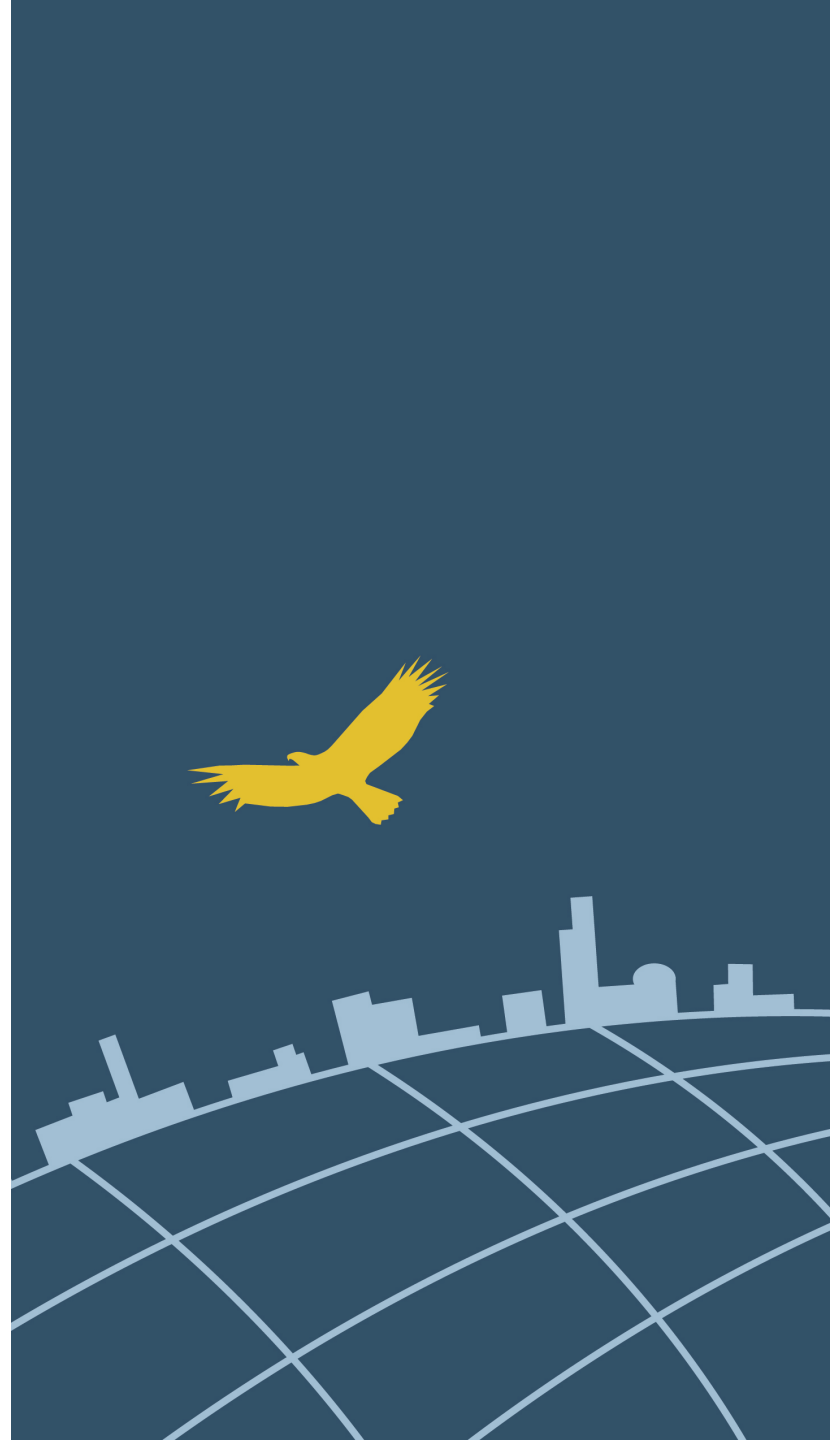
Risk Management Kickoff 2021

Board Comments

Risk Management Kickoff 2021

Public Comment

FY18 UASI Spending Report

To: **Bay Area UASI Approval Authority**

From: **Tristan Levardo, CFO**

Date: **January 14, 2021**

Re: **Item 07: FY2018 UASI Spending Report**

Staff Recommendation:

Information only

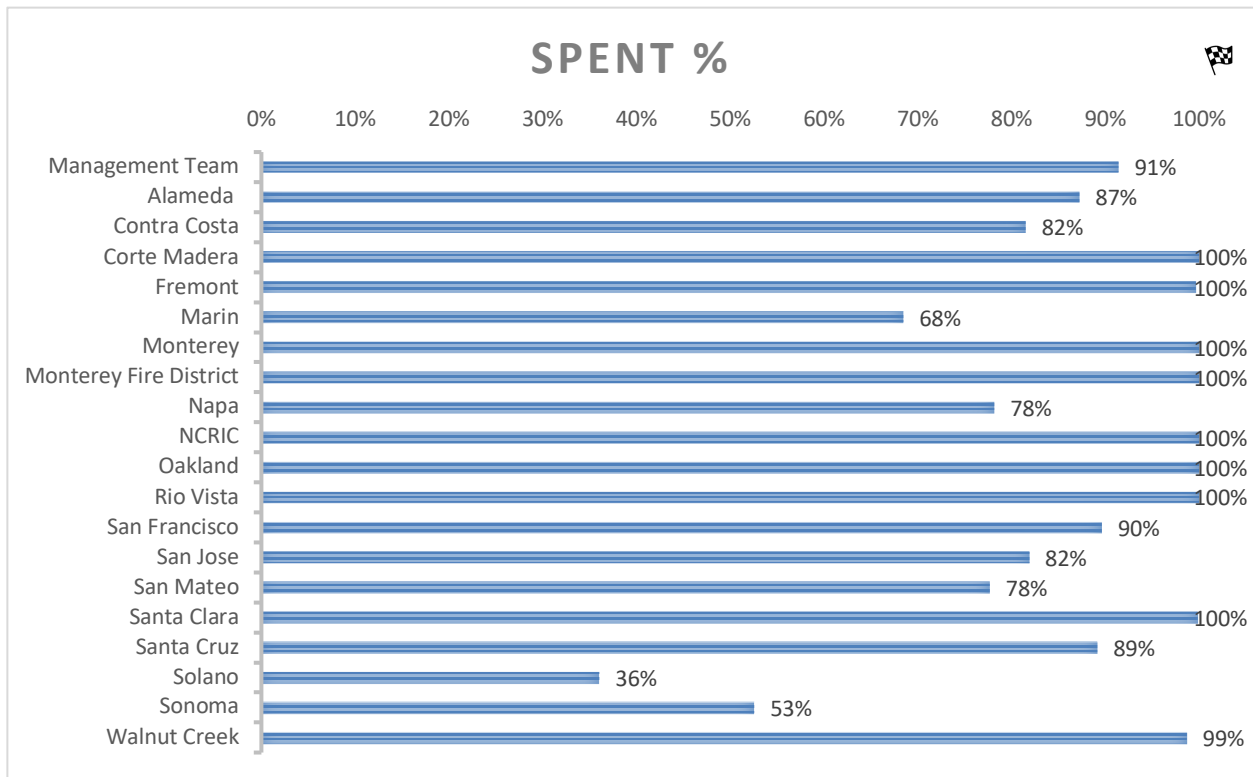**Action or Discussion Item:**

Discussion only

Summary

The sub-recipient performance period for FY2018 UASI grant is November 1, 2018 – December 31, 2019, with some projects receiving extensions up to December 31, 2020. The budget reflects the reallocated training and exercise funds.

Financial Information:

Jurisdiction	Budget	Spending	Spent %	Committed
Management Team	5,137,002	4,695,969	91%	441,033
Alameda	1,374,882	1,199,389	87%	175,493
Contra Costa	883,934	720,434	82%	163,500
Corte Madera	32,615	32,615	100%	
Fremont	182,056	181,374	100%	682
Marin	452,979	310,085	68%	142,894
Monterey	370,359	370,338	100%	21
Monterey Fire District	252,295	252,295	100%	
Napa	239,209	186,950	78%	52,259
NCRIC	5,281,852	5,281,852	100%	

Oakland	1,183,000	1,183,000	100%	
Rio Vista	26,077	26,077	100%	
San Francisco	3,028,250	2,714,622	90%	313,628
San Jose	1,671,258	1,368,248	82%	303,010
San Mateo	1,298,866	1,009,082	78%	289,784
Santa Clara	519,109	518,353	100%	756
Santa Cruz	35,425	31,579	89%	3,846
Solano	423,700	152,808	36%	270,892
Sonoma	267,782	140,769	53%	127,013
Walnut Creek	81,850	80,774	99%	1,076
Total	22,742,500	20,456,613	90%	2,285,887

SPENT %

Management Team	91%
Alameda	87%
Contra Costa	82%
Corte Madera	100%
Fremont	100%
Marin	68%
Monterey	100%
Monterey Fire District	100%
Napa	78%
NCRIC	100%
Oakland	100%
Rio Vista	100%
San Francisco	90%
San Jose	82%
San Mateo	78%
Santa Clara	100%
Santa Cruz	89%
Solano	36%
Sonoma	53%
Walnut Creek	99%

FY18 UASI Spending Report

Board Comments

FY18 UASI Spending Report

Public Comment

Announcements

Good of the Order

General Public Comment

Adjournment

Thank You