



**Bay Area UASI Program
Approval Authority Meeting
Thursday, November 14, 2013
10:00 a.m.**

LOCATION
Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
OES Assembly Room

**REGULAR MEETING MINUTES
DRAFT**

1. Roll Call

Chair Kronenberg called the meeting to order at 10:05 am. General Manager Craig Dziedzic took roll. Chair Anne Kronenberg, Members Raymond Guzman, David Hober, Sherrie Collins, Mike Casten, Christopher Helgren and Brendan Murphy were present. Members Carlos Bolanos and Ken Kehmna were absent, but his alternate, Mark Wyss and David Snow, were present. Vice-Chair Rich Lucia was absent.

2. Approval of the Minutes

Motion: Approve the minutes from the October 10, 2013 Approval Authority meeting.

Moved: Member Casten **Seconded:** Member Collins

Vote: The motion passed unanimously

3. General Manager's Report

(a) UASI FY 2014 Project Proposal Update

General Manager Craig Dziedzic stated that on October 3, 2013, the Management Team hosted the FY 2014 Bay Area UASI Project Proposal Submission Process Kickoff meeting at the Alameda County Sheriff's Office. The objective of the meeting was to provide information about submitting project proposals for consideration of UASI FY14 grant funding. The Management Team discussed the project proposal template, electronic submission process, proposal selection criteria and process, timelines, and grant requirements.

According to the feedback, the Kick-off meeting was successful. There were approximately 50-60 attendees and 40 others who participated via webinar. The meeting was recorded for future reference and the recording can be downloaded from the UASI website.

(b) Coalition of CA UASIs Update

Mr. Dziejdzic stated that the Coalition of CA UASIs (CCU) held its quarterly meeting in Sacramento on October 31 and November 1, and all currently funded CA UASIs were in attendance. Representatives from the State of CA, including CalOES Director Mark Ghilarducci and Deputy Director Brendan Murphy, also attended as well as representatives from Digital Sandbox, Inc. (DSI).

The group discussed the THIRA process and working with the State of CA to assure alignment with the threats and hazards of the state. The group also discussed the CA Risk Management Contract with Digital Sandbox, Inc. to ensure that all jurisdictions receive the services stated in the contract. The group agreed to continue with the Statewide Risk Manager position but will define the scope and deliverables to include the additional DSI projects.

(c) The National Fusion Center Association (NFCA) Annual Training Event

Mr. Dziejdzic stated that the National Fusion Center Association (NFCA) Annual Training Event occurred from November 5th through November 7th. Mike Sena of the NCRIC and the President of the NFCA held the event in Washington, DC. Representatives from federal, state, and local agencies participated in training sessions as well as discussed strategies and best practices for information sharing, outreach/training, and collaboration. Keynote speakers included the Honorable Rand Beers, Acting Secretary of Defense, the Honorable Nick Rasmussen, Principal Deputy of the National Counterterrorism Center, and the Honorable James Comey, FBI Director. Mr. Comey discussed the evolving threats and risks facing the nation and the need to leverage security with information and sharing collaborative efforts. David Fraser, UASI Risk & Info Sharing Project Manager, Caroline Thomas-Jacobs, Regional East Bay Planner, and Mr. Dziejdzic attended the training.

4. FY14 Risk Management Process Results

Information Sharing & Risk Management Project Manager David Frazer presented an update on the FY 2013-2014 Bay Area UASI Risk Management Program. Mr. Frazer stated that Department of Homeland Security and UASI Approval Authority Bylaws require a risk and capability-based methodology for the allocation of grant funds to the jurisdictions. Since 2009, the Bay Area UASI has conducted a cross jurisdictional, cross-functional risk management program to set priorities.

Digital Sandbox Client Service Manager Jason Carroll stated that the Bay Area Asset catalog increased from 8,583 to 12,923 assets and all of the jurisdictions were involved in the Risk Management process. Mr. Carroll stated that the risk formula has three different parts: population, assets, and economic risk.

Members Collins and Hober were concerned that the North and South Bay Hubs have dropped in hub asset risk but the West and East Bay Hubs have gone up.

Assistant General Manager Catherine Spaulding stated that the Bay Area UASI bases its hub funding formula on the risk formula used at the federal level. Once DHS issues its updated risk formula for FY14, the Bay Area UASI will update its hub funding formula and present it to the Approval Authority for review. Ms. Spaulding does not anticipate any significant changes in hub funding percentages in FY14.

5. Regional Catastrophic Preparedness Grant Program Plan Adoption Update

Regional Program Manager Janell Myhre provided an update on the Regional Catastrophic Preparedness Grant Program adoption plan. Ms. Myhre stated that the Bay Area RCPGP funding has produced all hazards catastrophic earthquake scenario-specific operations plans in eight subject areas:

- Mass Fatality
- Debris Removal/Management
- Mass Transportation and Evacuation
- Mass Care and Shelter
- Interim Housing
- Volunteer Management
- Donations Management
- Logistics and Critical Lifelines

Ms. Myhre stated that all of the plans were validated and delivered in 2012, with the exception of Logistics and Critical Lifelines, which will be delivered this calendar year. The plans include one multi-county regional plan and one individual plan with local information customized to the local jurisdiction for each of the eleven local counties and three major cities in the Bay Area UASI footprint.

CalOES Coastal Region Administrator Jodi Traversaro stated that CalOES Coastal Region would like to formally adopt the RCPGP regional plans in their current draft format. Ms. Traversaro would like to thank the Bay Area UASI and everyone involved for their work on these plans. The UASI Management Team will continue to work closely with CalOES and support local efforts on plan adoption through completion.

Member Collins was concerned that the San Francisco Bay Earthquake Con Ops Plan did not include Monterey and San Benito counties. Ms. Collins would like to see the plan expanded. Ms. Traversaro indicated that she recognizes that there is a lack of local input from the local jurisdictions in the Con Ops Plan. She assured Member Collins that the state is working to revise the plans with input from all jurisdictions.

6. Automated License Plate Reader Focus Group Update

Information Sharing & Risk Management Project Manager David Frazer presented an update on Automated License Plate Readers (ALPRs) being used in the region. The UASI formed an “Automated License Plate Reader Technology Focus Group” from the Information Sharing and

Risk Management Workgroup to assess the current state of ALPR use in the Bay Area and define a regional strategy for the future. The membership included representatives from multi-jurisdictional agencies throughout the region, including the NCRIC, California Highway Patrol, Central Marin Police Authority, and the Sheriff's Offices of Alameda, Contra Costa, Marin, and Santa Clara Counties. The ALPR Focus Group met in person on July 31 and September 24, 2013.

Mr. Frazer stated that the Focus Group identified over 30 agencies in the Bay Area region that are using ALPR camera technology. The NCRIC has developed a regional data warehouse of all ALPR data coming in from law enforcement agencies in the Bay Area region and is available to all regional law enforcement. Since law enforcement agencies don't have national or statewide regulations to govern proper or permissible use of ALPR technology, law enforcement agencies are responsible to define and document their own policies or procedures. The Focus Group agreed that achieving standardization and as much uniformity as possible throughout the region presents the strongest stance possible to both law makers and privacy-minded groups such as the ACLU. The NCRIC has invested considerable effort into defining its ALPR Guidelines, and offered this policy for either adoption or use as a starting point for other participants. The Focus Group also agreed that strategically selected high-traffic "choke points" present the most effective and cost-efficient locations to deploy new ALPR cameras. Examples include entrance and exits of major bridges and tunnels, or intersections of major expressways, where stolen vehicles or other actionable traffic is pervasive.

7. Regional Public Safety Information Sharing Systems Update

Information Sharing & Risk Management Project Manager David Frazer introduced this item, which was intended to update the Approval Authority on regional public safety information sharing initiatives supported with UASI funds.

NCRIC Director Mike Sena stated that the Alameda County Sheriff's Department has agreed to join ARIES, which increases the number of agency users to 18. Mr. Sena also stated that Contra Costa County is expanding the current data warehouse to provide a more efficient and robust system. This work has delayed the full integration of ARIES and CopLink by another six months.

San Francisco Police Department Chief Information Officer Susan Merritt, stated that they are currently working with FBI N-DEX to integrate their data. N-DEX will exchange this data with CopLink and then ARIES after full of integration of CopLink and ARIES.

Santa Clara County Juan Gallardo provided a demonstration of the facial recognition system in CopLink.

There was a discussion among Members and the presenters regarding the ability of the San Francisco Police Department to share information with Bay Area law enforcement agencies and with CopLink and ARIES. Concerns were raised that San Francisco's proposed interface to the region through N-DEX would not be possible, timely, and/or adequately comprehensive.

8. America's Cup Report

San Francisco Police Department Captain Thomas Cleary presented on the 34th America's Cup. Capt. Cleary stated that the primary goals of the San Francisco Police Department for America's Cup were to ensure public safety, facilitate safe and effective transportation corridors, and maintain communication with regional public and private partner agencies. The America's Cup events attracted over one million visitors to America's Cup Park & Village and included 575 accredited media from 32 countries. The event was from July 4 - September 25 and involved many regional, state and federal partners that made the event successful.

9. FY12 UASI Spending Update

Chief Financial Officer Tristan Levarado stated that the sub-recipient performance period for the FY12 UASI grant is from December 1, 2012 – November 30, 2013, with the final claim for reimbursement due on January 4, 2014.

The total grant spending is now at \$5,805,937 which is 26% of the overall budget of \$21,931,312. Mr. Levarado urged the project leads of the jurisdictions to work closely with the UASI Management Team in closing out their projects as the deadline for deliverables is November 30, 2013.

10. UASI Travel Expenditures

Chief Financial Officer Tristan Levarado stated that there were no travel expenses for the quarter.

11. Report from the Bay Area Regional Interoperable Communications System Joint Powers Authority (BayRICS JPA)

Barry Fraser, General Manager of the BayRICS JPA, reported that the BayRICS JPA Board of Directors meeting scheduled for October 10 was canceled. The BayRICS Authority's next regular meeting is scheduled for Thursday November 14 at 1:30 PM, at the Alameda County Sheriff OES in Dublin.

BayRICS Director Jim Bouziane, South Bay Hub, and Alternate Director Chris Godley (San Jose) have resigned from the Board. Captain Dan Winter with the City of Santa Clara Police Department will replace Director Bouziane. Alternate Director Godley's replacement will be named later.

Mr. Fraser stated that FirstNet activities and spectrum lease negotiations were delayed by the federal government shutdown from October 1-October 16. The FirstNet Board Meeting scheduled for October 17 in Concord, CA was canceled, and several negotiating sessions between BayRICS and FirstNet staff had to be rescheduled.

As of November 14, BayRICS has not yet executed a spectrum lease. GM Fraser and BayRICS officers have continued to meet with FirstNet and Motorola during and after the federal

government shutdown, with the goal of completing the negotiations by the first week of November. Outstanding issues involve a request by FirstNet to make several changes to the “BOOM” master agreement with Motorola Solutions, Inc. Changes include revisions of certain technical specifications, revenue sharing arrangements with FirstNet and changes to the timing of the transfer title to the BayWEB system from Motorola to BayRICS

12. Tracking Tool

Chair Kronenberg asked the Board for any questions or comments. There were no questions or comments.

13. Announcements-Good of the Order

Member Helgren announced that Michael Merola is the new North Bay Hub Planner.

14. Future Agenda Items

Chair Kronenberg asked the Board for questions or comments. There were no questions or comments.

15. General Public Comment

Chair Kronenberg asked the public for questions or comments. There were no questions or comments.

The meeting adjourned at 11:55 a.m.