



**To: Bay Area UASI Approval Authority**  
**From: Catherine Spaulding, Assistant General Manager**  
**Date: August 13, 2015**  
**Re: Item 5: FY13 Unspent Funds**

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**Staff Recommendations:**

1. Approve reallocation of \$400,000 to FY15 hub-selected projects that can be completed by the end of calendar year 2015
2. Approve reallocation of \$400,000 to address regional high risk and gap areas

**Action or Discussion Items:**

Action

**Discussion:**

The Management Team presents two recommendations concerning how to reallocate \$800,000 remaining from UASI FY13: (1) reallocate half of the available funds to FY15 hub-selected projects; and (2) reallocate the other half to address priority regional gaps. These recommendations are consistent with our past practices of reallocation when savings have been identified.

*I. Background:*

There is currently an \$800,000 unspent balance in UASI FY13 grant funds. This money is available as a result of a return of funds from jurisdictions after January 2015 when the regional procurement was triggered to redistribute funding to the region. Returned allocations are also available from the Management Team due to savings on salaries and rental costs. These funds must be spent by December 31, 2015.

*II. Recommendation #1 – Reallocate \$400,000 to FY15 hub-selected projects*

The Management Team recommends that \$400,000 of the \$800,000 available be distributed to the hubs based on the 2015 risk allocation formula to support projects identified by the hubs as part of the FY15 cycle. Projects would be funded in order of priority (as identified by the hubs) provided that the projects can be successfully completed by December 31, 2015 and do not include positions.

With Approval Authority approval of this recommendation, the Management Team will confirm project selections with project leads and hub members for each hub. Given the tight timeframe, the Management Team would commence this work immediately and would seek confirmation of projects from stakeholders and hub representatives within one week.

A \$400,000 allocation to the hubs would break out as follows:

**Table 1: Proposed Hub Allocations**

<b>Hub</b>	<b>Risk Allocation (2015)</b>	<b>Amount</b>
East	24.16%	\$96,800
North	8.34%	\$33,200
South	25.20%	\$100,800
West	42.29%	\$169,200
<b>TOTAL</b>	<b>100%</b>	<b>\$400,000</b>

*III. Recommendation #2 – Reallocate \$400,000 to address priority capability gaps*

The Management Team recommends that the remaining \$400,000 of the FY13 unspent funds be used to address core capabilities that rank highest in our risk and gap analysis as well as address regional priorities such as Super Bowl 50 preparation. Please see next page for a summary table.

With Approval Authority approval, the Management Team will conduct further needs analysis and consultation to verify operational need and interest and determine the specifics of spending. The Management Team will report back to the Approval Authority on progress in February 2016.

**Table 2: Proposed Regional Project Allocations**

Project Name	Amount	Core Capability	Risk and Gap Rank	Details
Cal COP/Web EOC Connection Pilot	\$50,000	Intelligence and Information Sharing, Situational Assessment	9, 23	Based on the WebEOC Assessment Project presented to the Approval Authority in May 2015, WebEOC and Cal COP should be linked to improve information sharing and situational awareness. This project would link three local instances of WebEOC to Cal COP and would be completed in time for Urban Shield in September and the Super Bowl in February. Additional funding for other jurisdictions to connect will be requested based on the results of the pilot.
Training	\$150,000	Cyber, Public Information and Warning	3, 5	This funding would augment the Regional Training Program with funds for PIO courses in high demand as well as cyber training to be identified in partnership with the NCRIC based on results of their initial awareness training and penetration testing.
Planning and POD Equipment	\$200,000	Supply Chain Security	1	This project will provide funds for POD security equipment recommended by the Regional Logistics Catastrophic Plan. Management Team staff will also support local law enforcement security planning and test capabilities in Urban Shield/Yellow Command 2016.
<b>TOTAL</b>	<b>\$400,000</b>			