Mass Care & Sheltering Tabletop Exercise

July 23, 2013
Opening, Introductions, & Overview
Welcome: Sign In

• Sign In
• Lunch Ordered?
  – Forms Needed ASAP
  – $10/per person
• Tabletop Exercise – Materials Folder
Housekeeping

- Restrooms
- Silence cell phones
- Emergencies
- Breaks
Introductions

• Bay Area UASI
• Facilitators
• Participants
<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
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</thead>
<tbody>
<tr>
<td>0900</td>
<td>Registration</td>
</tr>
<tr>
<td>0930</td>
<td>Welcome and Opening Remarks</td>
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<tr>
<td>0935</td>
<td>Introductions</td>
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<tr>
<td>0945</td>
<td>Exercise Overview</td>
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<tr>
<td>1000</td>
<td><strong>Module 1: Comprehensive Plan Review</strong></td>
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<tr>
<td>1100</td>
<td>Break</td>
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<td>1115</td>
<td><strong>Module 1: Comprehensive Plan Review (cont.)</strong></td>
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<tr>
<td>1200</td>
<td>Lunch Break</td>
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<tr>
<td>1230</td>
<td><strong>Module 2: Tabletop Discussion</strong></td>
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<td></td>
<td>Objective 1- Discussion 45 minutes</td>
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<td>1315</td>
<td><strong>Module 2: Tabletop Discussion</strong></td>
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<td></td>
<td>Objective 2- Discussion 30 minutes</td>
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<tr>
<td>1345</td>
<td>Break</td>
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<tr>
<td>1400</td>
<td><strong>Module 2: Tabletop Discussion</strong></td>
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<tr>
<td></td>
<td>Objective 3- Discussion 30 minutes</td>
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<tr>
<td>1430</td>
<td>Hot Wash</td>
</tr>
<tr>
<td>1455</td>
<td>Next Steps</td>
</tr>
<tr>
<td>1500</td>
<td>Closing Comments</td>
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</table>
Exercise Scope

• This is a six-hour, discussion-based exercise

• The tabletop exercise follows the Homeland Security Exercise and Evaluation Program (HSEEP) methodology and documentation
Exercise Purpose

To review and vet the relationship of the *RCPGP Regional Catastrophic Earthquake Mass Care and Sheltering Plan* to the Federal, State, and local plans that address catastrophic mass care response.
Exercise Guidelines

• This exercise will be held in an open, low-stress, no-fault environment. Varying viewpoints, even disagreements, are expected.

• Respond to the scenario using your knowledge of current plans and capabilities (i.e., you may use only existing assets) and insights derived from your training.

• Decisions are not precedent setting and may not reflect your organization’s final position on a given issue. This exercise is an opportunity to discuss and present multiple options and possible solutions.

• Issue identification is not as valuable as suggestions and recommended actions that could response efforts. Problem-solving efforts should be the focus.
Mission Areas

• Response
• Recovery

Core Capabilities

• Mass Care Services
• Situational Assessment
• Intelligence and Information Sharing
Overarching Exercise Objectives

- Review the Plan to vet and align Federal, State, and local government
  - Roles & Responsibilities
  - Notification & Activation Procedures
Overarching Objectives, cont.

- Discuss critical elements identified during Golden Guardian 2013*

- Identify gaps, develop recommendations for adoption of RCPGP Plans as Annexes to RECP and local EOPs
Objectives of this Exercise

• Review the roles and responsibilities of critical agencies and organizations identified in Mass Care and Sheltering

• Identify the sources of information necessary to build and maintain situational awareness across vertical and horizontal response levels during the first 72 hours after the event
Objectives of this Exercise, cont.

• Review the effectiveness of information-sharing between entities at various levels of government
Module 1:
Comprehensive Plan Review
Plan Relationships
Emergency Plan Relationships

Federal ➔ State ➔ Region ➔ Operational Areas ➔ Local Governments

FEMA
National Response Framework (NRF), 2013
Emergency Support Functions (ESFs), 2008

California
Catastrophic Incident Base Plan (CONOP) 2008

CALIFORNIA
State of California Emergency Plan (SEP)
Emergency Functions (EFs)

Coastal Region

Regional Emergency Coordination Plan (RECP), 2007
Regional Catastrophic Earthquake Plans (RCPGP), 2011

RECP Subsidiary Plans

Emergency Operations Plans (EOPs)
- Alameda ➔ Oakland
- Contra Costa
- Marin
- Monterey
- Napa
- San Benito
- San Francisco
- San Mateo
- Santa Clara
- Santa Cruz
- Solano
- Sonoma
- San Jose

*San Francisco Bay Area Earthquake Readiness Response: Concept of Operations Plan (CONPLAN)*

*Only applies to Coastal Region, specifically the San Francisco Bay Area counties of: Alameda, Contra Costa, Marin, Napa, San Francisco, San Mateo, Santa Clara, Santa Cruz, Solano, and Sonoma.
Mass Care and Sheltering Plan Relationships
Federal ➤ State ➤ Region ➤ Operational Areas ➤ Local Governments

FEMA
ESF #6 - Mass Care, Emergency Assistance, Housing, and Human Services

CONPLAN
Annex C - Tab 8 Mass Care

CALIFORNIA
ECA - EF #6, Mass Care and Shelter

Coastal Region

RECP Mass Care and Shelter Subsidiary Plan
Regional Catastrophic Earthquake Mass Care and Sheltering Plan

Operational Area Catastrophic Earthquake Mass Care and Sheltering Plans (Annexes to EOPs)
- Alameda
- Contra Costa
- Marin
- Monterey
- Napa
- San Benito
- San Francisco
- San Mateo
- Santa Clara
- Santa Cruz
- Solano
- Sonoma

Core City Catastrophic Earthquake Mass Care and Sheltering Plans (Annexes to EOPs)
- Oakland
- San Jose

• Guidance for national response to all types of disasters and emergencies
• Built on NIMS to be scalable, flexible, and adaptable
• “Whole Community” preparedness concept incorporated into 2013 version
• One of five preparedness mission area frameworks: Prevention, Protection, Mitigation, Response, and Recovery
Emergency Support Function (ESF) Annexes

• 15 annexes to the NRF that describe the capabilities of federal departments and agencies and other national-level assets by function

• Annexes define primary and supporting federal organizations and responsibilities
ESF #6—Mass Care, Emergency Assistance, Housing and Human Assistance

• Defines and programs implemented to assist individuals and households affected by potential or actual disaster incidents

• Includes four functions: Mass Care, Emergency Assistance, Housing, and Human Assistance

• ESF #6 Coordinator/Primary Agency is DHS/FEMA
State of California Emergency Plan (SEP) [2009]

• Provides overall framework for state, federal, local, and tribal governments, and the private sector to work together to mitigate against, prepare for, respond to, and recover from the effects of emergencies and disasters

• Conforms to requirements of Emergency Services Act, SEMS, NIMS, and the NRF
California Emergency Function (EF) Annexes (2013)

- SEP establishes 18 CA-EFs and lead agencies for each
- Each CA-EF represents an alliance of public and private sector stakeholders who possess common interests and share responsibilities for emergency management functions
- Intended to operate across the five mission areas: Prevention, Protection, Mitigation, Response, and Recovery
CA-EF 6– Mass Care and Shelter (2013)

- **Mission**: Stakeholders will provide coordination, collaboration, and resource identification for mass care and shelter to support the state’s capabilities to minimize the humanitarian impact of disasters and other emergencies through all four phases of emergency management.
- Annex describes the coordination of actions to assist responsible jurisdictions to meet the needs, including mass care, emergency assistance, and human services, of those affected during or after an emergency or disaster.
- California Health and Human Services Agency acts as the Lead Agency and the California Department of Social Services is the Lead Department.
CA-EF 6– Mass Care and Shelter (2013)

• Members of CA-EF 6 have specific statutory and regulatory authorities governing their activities, and these authorities will not be superseded by the annex

• Annex is consistent with ICS practices, SEMS, NIMS, NRF, National Disaster Recovery Framework, and memoranda of understanding between the ARC and Cal OES, FEMA, and CDSS

• Defines an operational framework that integrates with the CDSS DOC, REOC, SOC, and JFO
CA-EF 6– Mass Care and Shelter (2013)

• Uses a task force structure for planning and preparedness and operational purposes

• Task forces established for:
  – Mass Evacuation
  – Distribution of Emergency Supplies
  – Access and Functional Needs
  – Service Animals and Household Pets
  – Sheltering
  – Reunification
California Catastrophic Incident Base Plan: Concept of Operations (CONOP) [2008]

• Establishes a concept of operations for the joint federal-state response to, and recovery from a catastrophic incident in California

• Identifies the joint state/federal organization and operational framework that supports affected Operational Areas and local governments in the incident area

- Referred to as the CONPLAN
- Describes the joint response of the state and federal governments to a M 7.9 earthquake on the San Andreas Fault in the Bay Area
- Does not describe the specific response efforts of these entities, but it does describe the resources that will be deployed by the federal government
CONPLAN Annex C-Tab 8, Mass Care

• Defines general roles and responsibilities, assumptions, a concept of operations, and response timeline of activities related to mass care

• Includes Course of Actions (COAs) which are the general state and federal alternative actions that will be taken to support local response operations

• Regional Catastrophic Earthquake Mass Care and Sheltering Plan offers an expanded care and sheltering plan using the same scenario
Regional Emergency Coordination Plan (RECP) [2007]

- Provides an all-hazards framework for collaboration among responsible entities and coordination during events that affect the Bay Area region
- Defines procedures for regional coordination, collaboration, decision-making, and resource sharing among emergency response agencies in the Bay Area region within the framework of the Standardized Emergency Management System (SEMS)
- Describes the formation of a Regional Coordination Group (RCG) among the Cal OES Coastal Regional Emergency Operations Center (REOC) and the Operational Area (OA) lead agencies for the Bay Area counties
RCG—General Description

• May be convened by REOC Director to provide guidance on decisions the allocation of resources and coordination of response activities

• Consists of relevant Branch Coordinators of the REOC Operations Section, Operations Section Chief, REOC Director, Operational Area representatives, and SMEs

• Meetings held by conference call or videoconferencing
RCG– Purpose

• Allows the REOC Director to initiate a dialogue with Operational Area EOC Directors
• Gives Operational Areas opportunity to provide input for important decisions
• Focuses on allocation of resources and decisions key, unmet Operational Area priorities and provides a discussion forum for these issues
• Intended to address specific local government priorities and resource gaps
RCG-- Calls

• Usually at the initiation of response operations to establish contact with Op Area EOC Directors
• When necessary to focus on a specific topic
• When situation dictates regular contact between the REOC Director and Op Area EOC Directors
• When one or more Op Area EOC Directors requests that the group be convened, but subject to approval by REOC Director or designee
RECP Subsidiary Plans

• Support the RECP Base Plan by providing function specific frameworks for coordination among the Coastal Region REOC, Coastal Region Operational Areas, and the State Operations Center

• Provide an overview of the roles and responsibilities of agencies responsible for specific functional activities and specific guidance for the REOC in the event of a regional emergency
RECP Care and Shelter Subsidiary Plan (2008)

• Provides an overview of the roles and responsibilities of each of the agencies responsible for mass care and shelter operations and how government agencies and private sector organizations coordinate to provide services to survivors after the occurrence of an incident

• Creates a framework for regional coordination to support efforts by affected Operational Areas and local governments to care for and shelter survivors after any disaster
Regional Catastrophic Earthquake Mass Care and Sheltering Plan (2011)

- Provides a concept of operation for the SF Bay Area for the care and sheltering of individuals, including those with disabilities or other access and functional needs, displaced by a catastrophic earthquake
- Includes details for sheltering, feeding, basic medical care, bulk distribution, and tracking affected populations
- Identifies roles and responsibilities, time-based objectives, and a response timeline
Operational Area Catastrophic Earthquake Mass Care and Sheltering Plans (2011)

• Provides guidance for mass care and sheltering operations occurring within an Operational Area after a catastrophic earthquake

• Developed under the Regional Catastrophic Preparedness Grant Program as part of the same effort that developed the Regional Catastrophic Earthquake Mass Care and Sheltering Plan

• Consistent with the Regional Catastrophic Mass Care and Sheltering Plan

• Owned and maintained by the OAs and are annexes to their Emergency Operations Plan (EOPs)
Core City Catastrophic Earthquake Mass Care and Sheltering Plans (2011)

• Developed for the cities of Oakland and San Jose
• Special attention was given to the planning effort of Alameda and Santa Clara counties to promote consistency across those plans
• Owned and maintained by the cities and are annexes to their EOPs
BREAK – 15 Minutes
RCPGP
Mass Care and Sheltering Plan
RCPGP Regional Plan Overview

- Scenario and Assumptions
- Roles and Responsibilities
- Coordination
- Operations
Regional Plan Overview
Scenario

Catastrophic EQ: 7.9, San Andreas Fault

- Displaced households: 404,300
- People seeking shelter: 331,400
- Shelter capacity (pre-event): 250,700
- Shelter shortfall: 80,700

(For more scenario assumptions, see pages 9-12 in the exercise manual)
# Estimated Number of People Seeking Shelter, by County and Core City

<table>
<thead>
<tr>
<th>County/City</th>
<th>2009 Population</th>
<th>Displaced Households</th>
<th>Displaced People</th>
<th>Seeking Shelter</th>
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<tbody>
<tr>
<td>Alameda</td>
<td>1,556,500</td>
<td>95,400</td>
<td>257,600</td>
<td>67,300</td>
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<td>Contra Costa</td>
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<td>17,500</td>
<td>47,200</td>
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<td>Marin</td>
<td>258,600</td>
<td>8,000</td>
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<td>Monterey</td>
<td>431,900</td>
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<td>6,300</td>
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<td>San Benito</td>
<td>58,000</td>
<td>300</td>
<td>900</td>
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<td>San Francisco</td>
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<td>116,800</td>
<td>315,300</td>
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<td>San Mateo</td>
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<td>41,700</td>
<td>112,600</td>
<td>26,000</td>
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<td>Santa Clara</td>
<td>1,857,600</td>
<td>97,300</td>
<td>262,600</td>
<td>64,700</td>
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<td><strong>Regional totals</strong></td>
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<td><strong>404,300</strong></td>
<td><strong>1,091,600</strong></td>
<td><strong>260,100</strong></td>
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<td>Homeless/Visitors</td>
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<td><strong>Regional Total Seeking Shelter</strong></td>
<td><strong>331,400</strong></td>
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<td>Oakland</td>
<td>425,000</td>
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<td>1,006,700</td>
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<td><strong>City totals</strong></td>
<td><strong>1,431,700</strong></td>
<td><strong>99,000</strong></td>
<td><strong>329,300</strong></td>
<td><strong>69,900</strong></td>
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*(From pg. 10 in the Manual)*
Regional Plan Overview
Roles & Responsibilities

Local:
• EOC, Care & Shelter Branch

Operational Area:
• EOC, Care & Shelter Branch
Regional Plan Overview
Roles & Responsibilities

State:
• REOC (Region), Care & Shelter Branch
• Regional Coordination Group (RCG)
  • Mass Care Task Force
• SOC, Care & Shelter Branch
• EF-6, Mass Care
Regional Plan Overview
Roles & Responsibilities

Federal:

- ESF-6, Mass Care, Emergency Assistance, Housing, Human Services
- Unified Coordination Group (State and FEMA in JFO)
Regional Plan Overview

Roles & Responsibilities

NGOs

- American Red Cross  
  (National, State, Local)
- VOAD (National, Regional)
- CBOs, FBOs  
  (Regional, Local)

Private Sector

- CRA
Regional Plan Overview
Coordination & Communication

- SEMS
- Information Sharing
  - Situational Awareness
  - Public Information
Regional Plan Overview
Operations

Time-based Priorities (E + 72hrs)

• Identify need for shelter
• Initiate supply network
• Initiate shelter operations
Regional Plan Overview

Operations

Objectives (E + 72 hrs):

- Coordinate damage assessment and situation reports
- Evaluate shelter capabilities
- Develop awareness of ongoing needs
- Coordinate with evacuation ops
- Notify all supporting agencies
Regional Plan Overview

Key Issues:

• Shelter shortfall
• Increasing shelter demand after 72 hrs
• Mega-shelters
• Access and functional needs
LUNCH BREAK – 30 Minutes
Module 2: Tabletop Discussion
Objective 1:

Review the roles and responsibilities of critical agencies and organizations identified in Mass Care and Sheltering

(45 minute discussion)

Questions can be found in your SitMan
Objective 2:
Identify the sources of information necessary to build and maintain situational awareness across vertical and horizontal response levels during the first 72 hours after the event.

(30 minutes discussion)

Questions can be found in your SitMan
BREAK – 15 Minutes
Objective 3:

Review the effectiveness of information-sharing between entities at various levels of government

(30 minutes discussion)

Questions can be found in your SitMan
Completion of Module 2: Tabletop Discussion
HOT WASH

1. What are the strengths identified today?
2. What are the key areas of improvement identified today?
3. What are the recommendations?

Additional thoughts – Exercise Design
1. What did you like about the exercise?
2. Suggested changes?
Next Steps

• Complete Participant Feedback Forms
• Analyze today’s information
• Draft After-Action Report for review
• After-Action Conference Call
• Final After-Action Report and Improvement Plan
• Cal OES adoption of the Regional Mass Care & Sheltering Plan
Closing Comments
THANK YOU!

Please leave your completed Participant Feedback Forms on the tables.