

Bay Area UASI

2018 Compliance Requirements

September 21, 2017





REQUIRED FORMS- EHPs

Use this: <http://beta.fema.gov/authorized-equipment-list>

Found in: FEMA Related Grant Programs

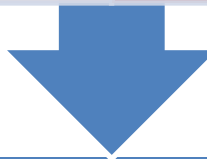
Possible EHP



Is Equipment Portable?

If Yes- NO EHP required

If No- EHP IS required



Training/Exercise EHPs- Classroom or Field Based

Classroom based- NO

Field based- YES



EHP Requirements

State approval
required first!

Project Lead
works with
jurisdiction

Form can be filled
out electronically

Brief, clear
project
description

Include quantities
of items and total
cost

Physical location
of training or
installation

Provide aerial and
ground level
photos



Aircraft and Watercraft Forms

Buying an aircraft or something that attaches to an aircraft?

- There's a Cal OES form for that.

Buying a watercraft or something that attaches to a watercraft?

- There's a Cal OES form for that, too.



Aircraft and Watercraft Forms

State approval
required first!

Assist Project
Leads in
preparation

Provide a clear
justification of
need

Describe how
equipment will be
used

Identify UASI
Goals/Objectives

How will
watercraft be
used

Provide
certification on
agency letterhead



EOC Request Forms

State approval
required first!

Assist Project
Leads in
preparation

Describe how
EOC improves
the organization

Identify other
sources of
funding

Provide
equipment
list/costs

Explain "other"
items

Are costs
reasonable?



EOC Request Forms

State approval
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Assist Project
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EOC improves
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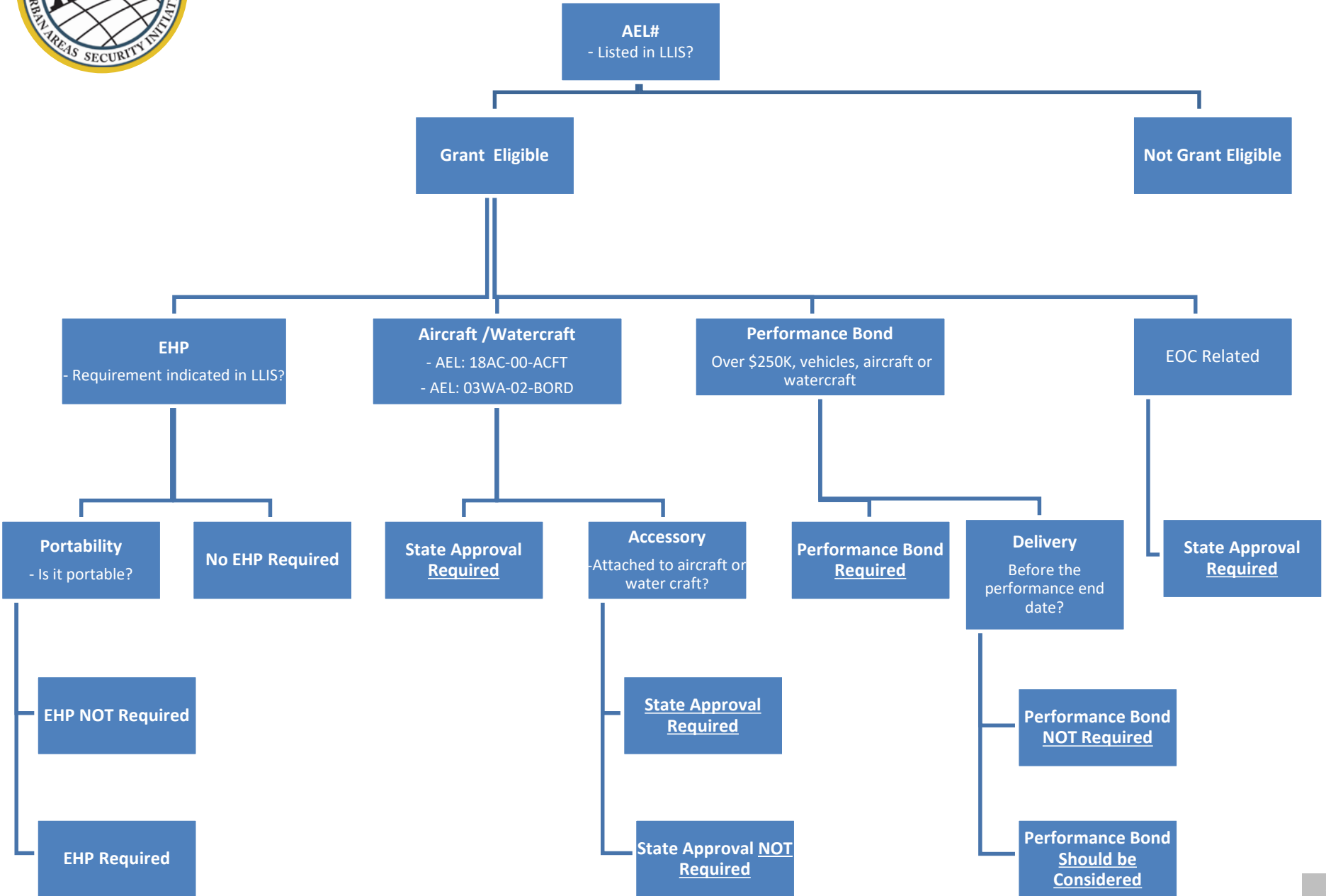
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Compliance Chart



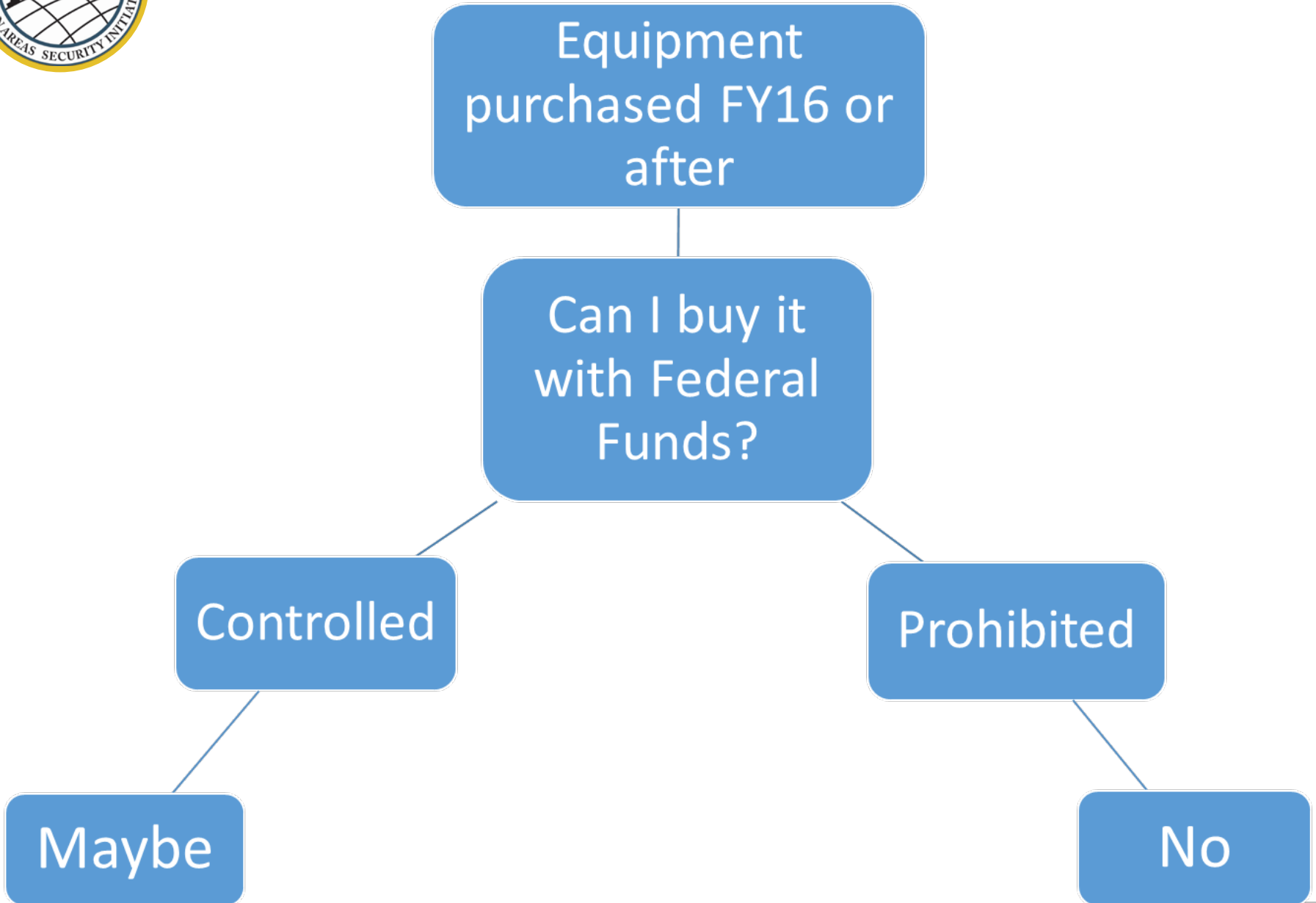


Controlled Equipment

- Manned Aircraft-Fixed & Rotary Wing
- Unmanned Aerial Vehicles (drones)
- Armored Vehicle, Wheeled
- Tactical Vehicle, Wheeled
- Command & Control Vehicles
- Specialized Firearms & ammunition under .50 Caliber (no service weapons)
- Explosives/Pyrotechnics
- Breaching Apparatus
- Batons and Shields (Not Riot)



Information Bulletin 407a (IB 407a)





Controlled Equipment Approval Process

Obtain written approval from agency's governing body

- Other elected officials (i.e. Sheriff) must only provide written notice at least 30 days in advance but do not need approval
- Jurisdictions responsible for preparing paperwork & BA UASI will submit FEMA Form to Cal OES

FEMA Form 87-0-0-1

- Policy and Protocol Requirements- specific, written documentation

Training Requirements

- Various types of training are required

Record keeping requirements



Controlled Equipment Approval Process

Cal OES must verify application before submitting to FEMA

- FEMA Program Analyst reviews- checks for “Yes” or “Will implement”

Reviews narrative for sufficient justification

- Conducts search to determine if similar equipment is available in region

Program Analyst submits recommendation up the chain

- Various types of training are required

Director of Grants Preparedness Division notifies Cal OES

- FEMA Review/approval time is estimated at 30 calendar days



Sole Source Submission- State

Projects exceeding \$150k require state approval

Jurisdiction must have local Purchasing Dept. Approval First

Brief, clear project description w/ vendor contact info

Must describe a COMPELLING and PERSUASIVE need for approval

Include info on research performed

Only 2 of the 3 possible options are used (one source or one bidder)

Provide jurisdiction's regulations for sole source procurement

Confirm vendor is not debarred or suspended

Perform Cost Benefit Analysis



Sole Source Cost Benefit Analysis

This is about the **financial** benefit to the agency

Provide specific fiscal information (i.e. \$ saved)

Do not describe the safety benefits to staff

Personnel examples include: staff and their salaries

Training examples include: equipment interoperability

Equipment examples include: multiple purchases needed to obtain the same goals



Performance Bonds

Required for:

- All purchases over \$250K, or any vehicles, aircraft or watercraft

Acts as:

- Insurance policy for jurisdiction

Allows you to:

- Meet UASI performance period deadline with delivery by end of Cal OES' performance period

How much:

- Typically about 1% of the purchase price



Contracts - Solicitations

Conduct a full and open competitive bidding process

Selection must be based on criteria in published RFP/Q

Selection panel must be comprised of subject matter experts

Contractor cannot be debarred or suspended



Contracts - Negotiations

Sole source approval must be obtained if there is only one bid

Costs must be reasonable and consistent

Must include a clearly written scope of services

Work must be performed and paid for entirely within the grant performance period

Thank you!



Bay Area UASI

